

# Compliance plan for WEL Networks Reconciliation Participant Audit 2022

Relevant Information			
Non-compliance	Description		
Audit Ref: 2.1 With: Clause 15.2 (1)(a)  From: 05-Feb-16 To: 30-Aug-21	Incorrect parent NSP recorded for embedded network JEF0111.  Potential impact: Low  Actual impact: None  Audit history: None  Controls: Moderate  Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	Controls are rated as moderate as they will mitigate risk most of the time, but I recommend that LE ICPs are monitored to identify any parent NSP changes made.  The risk rating is assessed to be low as the error found had no direct impact on reconciliation.		
Actions taken to resolve the issue		Completion date	Remedial action status
Incorrect POC was immediately corrected once discovered.		12/03/21	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
LE ICPs will be actively monitored to identify future NSP changes.		07/10/22	