

## Compliance plan for Waikato DC DUML 2022

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3  From: 16-Dec-21 To: 12-Oct-22	In absolute terms, total annual consumption is estimated to be 24,900 kWh higher than the DUML database indicates. Submission is based on a snapshot and does not consider historic changes. Potential impact: High Actual impact: Medium Audit history: Multiple times Controls: Moderate Breach risk rating: 4	
Audit risk rating	Rationale for audit risk rating	
<b>Medium</b>	The controls are rated as moderate overall, but the new connection process requires improvement to move this to strong. The impact is assessed to be medium, based on the kWh differences.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised of the inaccuracies identified and comments are in the relevant sections.	23/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.	Ongoing	

<b>All load recorded in database</b>		
<b>Non-compliance</b>	<b>Description</b>	
<p>Audit Ref: 2.5</p> <p>With: Clause 11(2A) of Schedule 15.3</p> <p>From: 16-Dec-21</p> <p>To: 12-Oct-22</p>	<p>Nine items of load of a sample of 254 items checked in the field are missing from the database.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Low</b>	<p>The controls are rated as moderate overall, but the new connection process requires improvement to move this to strong.</p> <p>The impact is assessed to be low, based on the quantity of additional lights.</p>	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
<p>We have advised the Waikato District Alliance and Odyssey of the extra lights. They have advised that they are not yet the responsibility of the council. We will investigate and confirm who is responsible for them.</p> <p>We have advised Odyssey of the inaccuracies for the 4 missing lights and the 21 incorrect wattages in the database</p>	<p>Ongoing</p> <p>23/11/2022</p>	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
<p>Odyssey will be correcting the database for the 4 missing lights and the 21 incorrect wattages over the next 2 weeks.</p> <p>Meridian will follow up with Odyssey to ensure the corrections are completed.</p>	<p>23/12/2022</p> <p>23/12/2022</p>	

<b>Database accuracy</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b)  From: 16-Dec-21 To: 12-Oct-22	In absolute terms, total annual consumption is estimated to be 24,900 kWh higher than the DUMML database indicates.  Potential impact: High Actual impact: Medium Audit history: Multiple times previously Controls: Moderate Breach risk rating: 4	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Medium</b>	The controls are rated as moderate as the processes in place to manage change are sufficient to mitigate risk most of the time.  The impact is assessed to be medium, based on the kWh value above.	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
Meridian has advised of the inaccuracies identified and comments are in the relevant sections.	23/11/2022	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
No comments provided		

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c)  From: 16-Dec-21 To: 12-Oct-22</p>	<p>In absolute terms, total annual consumption is estimated to be 24,900 kWh higher than the DUMML database indicates. Submission is based on a snapshot and does not consider historic changes. Potential impact: High Actual impact: Medium Audit history: Multiple times Controls: Moderate Breach risk rating: 4</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Medium</b>	<p>The controls are rated as moderate overall, but the new connection process requires improvement to move this to strong. The impact is assessed to be medium, based on the kWh differences.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Meridian has advised of the inaccuracies identified and comments are in the relevant sections.		23/11/2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.		Ongoing	