

Compliance Plan Buller DC RAMM DUML 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 28-Aug-21</p> <p>To: 03-Oct-22</p>	<p>The field audit found a 14% error rate resulting in an estimated annual over submission of 2,558 kWh.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as weak as changes in the field are not being reflected in the database.</p> <p>The impact is assessed to be low due to the estimated amount of over submission described above.</p>	
Actions taken to resolve the issue		Completion date
Meridian will advise of the inaccuracies identified and request for corrections to be made.		2/12/2022
Preventative actions taken to ensure no further issue will occur		Completion date
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.		Ongoing
Identified		

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 28-Aug-21 To: 03-Oct-22	Three additional items of load found in the field of 71 items of load sampled. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate because they ensure most information is accurate. The impact is assessed to be low due to the small number of additional lights found in the field in relation to the overall count of the items of load.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian will advise of the inaccuracies identified and request for corrections to be made.	02/12/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Buller DC to complete the required corrections and to maintain the install updates and changes to the database.	01/03/2023	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 28-Aug-21 To: 03-Oct-22</p>	<p>The field audit found a 14% error rate resulting in an estimated annual over submission of 2,558 kWh. One lamp not recorded correctly in the database, resulting in approximately 59.80 kWh p.a. of over submission. Potential impact: Low Actual impact: Low Audit history: Twice previously Controls: Weak Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as weak as changes in the field are not being reflected in the database. The impact is assessed to be low due to the estimated amount of over submission described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian will advise of the inaccuracies identified and request for corrections to be made.	02/12/2022	Identified
Preventative actions taken to ensure no further issue will occur	Completion date	
Meridian will continue to follow up with Buller DC to complete the required corrections and to maintain the install updates and changes to the database.	01/03/2023	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 28-Aug-21</p> <p>To: 03-Oct-22</p>	<p>The field audit found a 14% error rate resulting in an estimated annual over submission of 2,558 kWh.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as weak as changes in the field are not being reflected in the database.</p> <p>The impact is assessed to be low due to the estimated amount of over submission described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian will advise of the inaccuracies identified and request for corrections to be made.	02/12/2022	Identified
Preventative actions taken to ensure no further issue will occur	Completion date	
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.	Ongoing	