

# Compliance Plan Christchurch CC DUML 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 25-Feb-22</p> <p>To: 27-Oct-22</p>	<p>In absolute terms, total annual consumption is estimated to be 696,100 kWh lower than the DUML database indicates.</p> <p>45 lamps have incorrect total wattages, resulting in an estimated under submission of 1,192 kWh p.a. based on 4,271 burn hours.</p> <p>Estimated over submission of 443,543 kWh per annum based on dimming of 31,000 lamps.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as moderate the risk is mitigated to an acceptable level.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Findings from the field audit are being investigated and will be corrected where required.	28/2/2023	Identified
The 45 lights with incorrect ballasts will be corrected	31/1/2023	
Preventative actions taken to ensure no further issues will occur	Completion date	
There has been a substantial investment by CCC and Simply Energy to develop operational processes that will allow us to utilise the (relatively) new approval of a profile by the Authority that will allow us to reconcile volumes in line with the dimming that is already operational within the CCC portfolio. We are working as quick as we can to meet the requirements of the authority before transitioning to the HHS profile.	31/3/2023	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 25-Feb-22</p> <p>To: 27-Oct-22</p>	<p>In absolute terms, total annual consumption is estimated to be 696,100 kWh lower than the DUMML database indicates.</p> <p>45 lamps have incorrect total wattages, resulting in an estimated under submission of 1,192 kWh p.a. based on 4,271 burn hours.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as moderate the risk is mitigated to an acceptable level.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Findings from the field audit are being investigated and will be corrected where required.	28/02/2023	Identified
The 45 lights with incorrect ballasts will be corrected	31/01/2023	
Preventative actions taken to ensure no further issues will occur	Completion date	
There has been a substantial investment by CCC and Simply Energy to develop operational processes that will allow us to utilise the (relatively) new approval of a profile by the Authority that will allow us to reconcile volumes in line with the dimming that is already operational within the CCC portfolio. We are working as quick as we can to meet the requirements of the authority before transitioning to the HHS profile.	31/3/2023	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 25-Feb-22</p> <p>To: 27-Oct-22</p>	<p>In absolute terms, total annual consumption is estimated to be 696,100 kWh lower than the DUML database indicates.</p> <p>45 lamps have incorrect total wattages, resulting in an estimated under submission of 1,192 kWh p.a. based on 4,271 burn hours.</p> <p>Estimated over submission of 443,543 kWh per annum based on dimming of 31,000 lamps.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as moderate the risk is mitigated to an acceptable level.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Findings from the field audit are being investigated and will be corrected where required.</p> <p>The 45 lights with incorrect ballasts will be corrected</p>	<p>28/02/2023</p> <p>31/1/2023</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>There has been a substantial investment by CCC and Simply Energy to develop operational processes that will allow us to utilise the (relatively) new approval of a profile by the Authority that will allow us to reconcile volumes in line with the dimming that is already operational within the CCC portfolio. We are working as quick as we can to meet the requirements of the authority before transitioning to the HHS profile.</p>	31/1/2023	