

Compliance plan for Awhitu Windfarms Limited 2022

Provision of information on dispute resolution scheme		
Non-compliance	Description	
Audit Ref: 2.19 With: Clause 11.30A From: 01-May-21 To: 25-Oct-22	There is no website for Awhitu Windfarms, therefore information on Utilities Disputes is not provided on the website. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong, and the impact is low. There is one external customer, and they are advised of Utilities Disputes monthly, on their invoice.	
Actions taken to resolve the issue	Completion date	Remedial action status
No comment		Unknown
Preventative actions taken to ensure no further issues will occur	Completion date	
No comment		

ANZSIC codes	
Non-compliance	Description
Audit Ref: 3.6 With: Clause 9 (1(k) of Schedule 11.1 From: 21-Mar-22 To: 10-Oct-22	ICP 0000294920TE968 has an incorrect ANZSIC code applied. Potential impact: Low Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1
Audit risk rating	Rationale for audit risk rating
Low	The controls are rated as strong because all ANZSIC codes are correct apart from ICP 0000294920TE968. The audit risk rating is low because there is no impact on settlement outcomes and a low impact on the Electricity Authority's reporting accuracy.

Actions taken to resolve the issue	Completion date	Remedial action status
No comment		Unknown
Preventative actions taken to ensure no further issues will occur	Completion date	
No comment		

NHH meters interrogated annually		
Non-compliance	Description	
Audit Ref: 6.9 With: Clause 8(1) Schedule 15.2 From: 01-Mar-22 To: 31-Jul-22	The meter reading frequency reports provided to the Authority omitted NSP GLN0332 for some months. Potential impact: Low Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong because the report content is now correct, and the report logic was corrected as soon as practicable after JC Consulting was advised of the omission by the auditor. The report is relied on to determine compliance with the meter reading requirements, and the impact is assessed to be low because all ICPs connected to GLN0332 were read in the previous four and 12 months.	
Actions taken to resolve the issue	Completion date	Remedial action status
No comment		Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
No comment		