

Compliance plan for Taupo DC DUML– 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Apr-21 To: 01-Mar-22</p>	<p>In absolute terms, total annual consumption is estimated to be 12,100 kWh higher than the DUML database indicates.</p> <p>Submission is based on a snapshot of the database at the end of the month and does not consider historic adjustments or the fact that lights can be livened before they are entered into the database.</p> <p>Potential impact: High Actual impact: Medium Audit history: Multiple times Controls: Strong Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Medium	<p>The controls are rated as strong as the change management process is robust and the risks are managed to an acceptable level.</p> <p>The impact is assessed to be medium based on the kWh differences described above.</p>	
Actions taken to resolve the issue		Completion date
<p>The Taupo District Council are aware of the inaccuracies and are currently working them to be resolved</p> <p>We are considering the impact provision and use of database changes at a daily level will have on our processes and tools.</p>		<p>1 May 2022</p> <p>1 April 2023</p>
Preventative actions taken to ensure no further issues will occur		Completion date
<p>Meridian will continue to follow up with the council regularly to assist with any unresolved corrections</p>		Ongoing
Remedial action status		
Identified		

All load recorded in database			
Non-compliance	Description		
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-Apr-21 To: 01-Mar-22	All load is not recorded in the database. One additional item of load was found. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are rated as strong, as the processes to capture change was improved during the previous audit period. The impact is assessed to be low as the majority of the volume of additional lighting found in the sample was small.		
Actions taken to resolve the issue		Completion date	Remedial action status
The Taupo District Council are aware of the inaccuracies and are currently working them to be resolved. It has been investigated and confirmed that one of the additional lights is in the database and cleared. 1 is still under investigation		1 May 2022	Identified
		1 May 2022	
Preventative actions taken to ensure no further issues will occur		Completion date	Post audit comment:
Meridian will continue to follow up with the council regularly to assist with any unresolved corrections		Ongoing	The non-compliance has been changed to reflect there is only one additional light in the field.

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Apr-23 To: 01-Mar-22</p>	<p>In absolute terms, total annual consumption is estimated to be 12,100 kWh higher than the DUMML database indicates.</p> <p>The database is not populated with the actual livening date for new lights.</p> <p>Potential impact: High Actual impact: Medium Audit history: Multiple times</p> <p>Controls: Strong Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Medium	<p>The controls are rated as strong as the change management process is robust and the risks are managed to an acceptable level.</p> <p>The impact is assessed to be medium based on the kWh differences described above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>The Taupo District Council are aware of the inaccuracies and are currently working them to be resolved.</p> <p>We will raise again the formalisation of a notification process with TDC and network companies to manage connection of new lights in the database</p>		<p>1 May 2022</p> <p>1 May 2022</p>	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Meridian will continue to follow up with the council regularly to assist with any unresolved corrections		Ongoing	

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Apr-21</p> <p>To: 01-Mar-22</p>	<p>In absolute terms, total annual consumption is estimated to be 12,100 kWh higher than the DUMML database indicates.</p> <p>Submission is based on a snapshot of the database at the end of the month and does not consider historic adjustments or the fact that lights can be lived in before they are entered into the database.</p> <p>Potential impact: High</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times</p> <p>Controls: Strong</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Medium	<p>The controls are rated as strong as the change management process is robust and the risks are managed to an acceptable level.</p> <p>The impact is assessed to be medium based on the kWh differences described above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>The Taupo District Council are aware of the inaccuracies and are currently working them to be resolved.</p> <p>We are considering the impact provision and use of database changes at a daily level will have on our processes and tools.</p>		<p>1 May 2022</p> <p>1 April 2023</p>	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Meridian will continue to follow up with the council regularly to assist with any unresolved corrections</p>		Ongoing	