

Compliance plan for Matamata Piako DC DUML 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Sep-20</p> <p>To: 02-Jun-22</p>	<p>Some load reconciled to the incorrect ICP due to errors in the monthly report. The overall volume is correct and the ICPs are all in the same balancing area, so the impact is minimal.</p> <p>Eight private lights recorded in the database and confirmed as not being reconciled elsewhere resulting in an estimated minor under submission of 2,486 kWh per annum.</p> <p>Four items of load with incorrect ballasts recorded resulting in an estimated very minor under submission of 137 kWh per annum.</p> <p>462 NZTA urban lights being reconciled by MPDC and NZTA resulting in a potential estimated over submission 303,735 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
<p>High</p>	<p>The controls are rated as moderate, as the processes to manage the database are robust but the submission inaccuracies show room for improvement.</p> <p>The impact is assessed to be high due to the over submission relating to the NZTA urban lights that are being submitted by both MPDC and NZTA. .</p>	
Actions taken to resolve the issue	Completion date	Remedial action status

<p>Matamata-Piako District Council has advised that the incorrect loads against the incorrect icps will be corrected in the July wattage report.</p> <p>Matamata-Piako District Council has advised that they will liaise with Powerco regarding the 8 private lights and hope to have this confirmed and sorted over in the next month.</p> <p>Matamata-Piako District Council confirm that the 4 items of load with the incorrect ballasts have now been corrected to 18 watts to match all other 150-watt metal halide gear in the database.</p> <p>Meridian will liaise with Genesis Energy regarding the duplicate lights and will have the database corrected. Once the database is corrected, Meridian will revise the historic submissions.</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot</p>	<p>1/8/2022</p> <p>1/8/2022</p> <p>16/6/2022</p> <p>1/10/2022</p> <p>Ongoing</p>	<p>Identified</p>
<p>Preventative actions taken to ensure no further issues will occur</p>	<p>Completion date</p>	
<p>Meridian will continue to follow up with Matamata-Piako District Council to complete the required corrections and to maintain the install updates and changes to the database.</p>	<p>1/8/2022</p>	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Sep-20</p> <p>To: 02-Jun-22</p>	<p>Four items of load with incorrect ballasts recorded resulting in an estimated very minor under submission of 137 kWh per annum.</p> <p>NZTA urban lights being reconciled by MPDC and NZTA resulting in a potential estimated over submission 303,735 kWh per annum.</p> <p>Eight private lights recorded in the database and confirmed as not being reconciled elsewhere resulting in an estimated minor under submission of 2,486 kWh per annum.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Three times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as moderate, as the processes to manage the database are robust but the submission inaccuracies show room for improvement.</p> <p>The impact is assessed to be high due to the over submission relating to the NZTA urban lights that are being submitted by both MPDC and NZTA. .</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Matamata-Piako District Council confirm that the 4 items of load with the incorrect ballasts have now been corrected to 18 watts to match all other 150-watt metal halide gear in the database.</p> <p>Meridian will liaise with Genesis Energy regarding the duplicate lights and will have the database corrected. Once the database is corrected, Meridian will revise the historic submissions.</p> <p>Matamata-Piako District Council has advised that they will liaise with Powerco regarding the 8 private lights and hope to have this confirmed and sorted over in the next month.</p>	<p>16/6/2022</p> <p>1/10/2022</p> <p>1/08/2022</p>	<p>Identified</p>
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Matamata-Piako District Council to complete the required corrections and to maintain the install updates and changes to the database.</p>	<p>1/08/2022</p>	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Sep-20</p> <p>To: 02-Jun-22</p>	<p>Some load reconciled to the incorrect ICP due to errors in the monthly report. The overall volume is correct and the ICPs are all in the same balancing area, so the impact is minimal.</p> <p>Eight private lights recorded in the database and confirmed as not being reconciled elsewhere resulting in an estimated minor under submission of 2,486 kWh per annum.</p> <p>Four items of load with incorrect ballasts recorded resulting in an estimated very minor under submission of 137 kWh her annum.</p> <p>462 NZTA urban lights being reconciled by MPDC and NZTA resulting in a potential estimated over submission 303,735 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as moderate, as the processes to manage the database are robust but the submission inaccuracies show room for improvement.</p> <p>The impact is assessed to be high due to the over submission relating to the NZTA urban lights that are being submitted by both MPDC and NZTA. .</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Matamata-Piako District Council has advised that the incorrect loads against the incorrect icps will be corrected in the July wattage report.	1/8/2022	Identified
Matamata-Piako District Council has advised that they will liaise with Powerco regarding the 8 private lights and hope to have this confirmed and sorted over in the next month.	1/8/2022	
Matamata-Piako District Council confirm that the 4 items of load with the incorrect ballasts have now been corrected to 18 watts to match all other 150-watt metal halide gear in the database.	16/6/2022	
Meridian will liaise with Genesis Energy regarding the duplicate lights and will have the database corrected. Once the database is corrected, Meridian will revise the historic submissions.	1/10/2022	
We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot	Ongoing	

Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Matamata-Piako District Council to complete the required corrections and to maintain the install updates and changes to the database.	1/8/2022	