

Compliance Plan Clutha DC DUML 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 18-Jun-21</p> <p>To: 09-Jun-22</p>	<p>The database contains incorrect wattage and ballast wattage for eight items of load, resulting in under submission of 2,665 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Three times previously</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time.</p> <p>The impact is assessed to be low as there are very few changes made to this database.</p>	
Actions taken to resolve the issue		Completion date
<p>Clutha District Council has advised that the incorrect wattage and ballast wattage has been corrected in the database since the audit was performed.</p> <p>We are assessing what changes are required to our processes and tools to account for database changes at a daily level.</p> <p>Once confirmed we will request that monthly data extracts include the detail of changes.</p>		<p>12/08/2022</p> <p>31/10/2022</p> <p>30/11/2022</p>
Preventative actions taken to ensure no further issues will occur		Completion date
<p>Meridian will continue to follow up with Clutha District Council to complete the required corrections and to maintain the installation updates and changes to the database.</p>		<p>16/11/2022</p>
Remedial action status		
Identified		

ICP identifier and items of load		
Non-compliance	Description	
Audit Ref: 2.2 With: Clause 11(2)(a) and (aa) of Schedule 15.3 From: 18-Jun-21 To: 09-Jun-22	One item of load with no ICP recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
The Clutha District Council has advised that these are not in operation. The circuit fuse is out. There is a possibility they may be reconnected in the future and will update the database if they are.		12/08/2022
Preventative actions taken to ensure no further issues will occur		Completion date
Meridian will continue to follow up with Clutha District Council to complete the required corrections and to maintain the installation updates and changes to the database.		16/11/2022
		Identified

Description and capacity of load		
Non-compliance	Description	
<p>Audit Ref: 2.4</p> <p>With: Clause 11(2)(c) and (d) of Schedule 15.3</p> <p>From: 18-Jun-21</p> <p>To: 09-Jun-22</p>	<p>Four items of load do not have lamp model, wattage or gear wattage recorded.</p> <p>Two items of load do not have lamp make or lamp model recorded.</p> <p>13 items of load have the incorrect gear model recorded.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>The Clutha District Council has advised that these are not in operation. The circuit fuse is out. There is a possibility they may be reconnected in the future and will update the database if they are.</p> <p>Meridian has advised the Clutha District Council about the inaccuracies of the 13 items of load and has advised that they database will be corrected in the next month.</p>	<p>12/08/2022</p> <p>16/09/2022</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Clutha District Council to complete the required corrections and to maintain the installation updates and changes to the database.</p>	16/11/2022	

All load recorded in database			
Non-compliance	Description		
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 18-Jun-21 To: 09-Jun-22	Three additional items of load found in the field of the 201 items of load sampled. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. The impact on settlement and participants is minor; therefore, the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Meridian has advised Clutha District Council of the inaccuracies and have requested for them to be corrected.		16/09/2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Meridian will continue to follow up with Clutha District Council to complete the required corrections and to maintain the installation updates and changes to the database.		16/11/2022	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 18-Jun-21</p> <p>To: 09-Jun-22</p>	<p>The database contains incorrect wattage and ballast wattage for eight items of load, resulting in under submission of 2,665 kWh per annum.</p> <p>13 items of load with the incorrect gear model recorded.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time.</p> <p>The impact is assessed to be low as there are very few changes made to this database.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Clutha District Council has advised that the incorrect wattage and ballast wattage has been corrected in the database since the audit was performed.</p> <p>Meridian has advised the Clutha District Council about the inaccuracies of the 13 items of load and has advised that they database will be corrected in the next month.</p>		<p>12/08/2022</p> <p>16/09/2022</p>	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Meridian will continue to follow up with Clutha District Council to complete the required corrections and to maintain the installation updates and changes to the database.</p>		16/11/2022	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 18-Jun-21</p> <p>To: 09-Jun-22</p>	<p>The database contains incorrect wattage and ballast wattage for eight items of load, resulting in under submission of 2,665 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Three times previously</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time.</p> <p>The impact is assessed to be low as there are very few changes made to this database.</p>	
Actions taken to resolve the issue		Completion date
<p>Clutha District Council has advised that the incorrect wattage and ballast wattage has been corrected in the database since the audit was performed.</p> <p>We are assessing what changes are required to our processes and tools to account for database changes at a daily level.</p> <p>Once confirmed we will request that monthly data extracts include the detail of changes.</p>		<p>12/08/2022</p> <p>31/10/2022</p> <p>30/11/2022</p>
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Identified		