

## Compliance plan for Ardmore Airport DUML 2022

Deriving submission information			
Non-compliance	Description		
Audit Ref: 2.1 With: 11(1) of Schedule 15.3 From: 31-Mar-21 To: 20-May-22	The database has an accuracy rate of 80% and will be resulting in an estimated over submission of 6,031 kWh per annum. Four lights reported in both the Auckland Transport and Ardmore Airport database resulting in an estimated 2,140 kWh per annum of over submission to the market. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Weak Breach risk rating: 3		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	The controls in place are rated as weak as the database is not being maintained as expected. The impact is assessed to be low, based on the minor kWh differences described above.		
Actions taken to resolve the issue		Completion date	Remedial action status
We are liaising with Ardmore Airport to investigate and update the database as required.		June 2022	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	
Our customer is aware of the requirements to provide accurate and timely database updates. We will remind our customer of the importance of this and will continue to work with them to improve database maintenance processes.		Ongoing	

Database Accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: 15.2 and 15.37B(b)</p> <p>From: 31-Mar-21</p> <p>To: 20-May-22</p>	<p>The database has an accuracy rate of 80% and will be resulting in an estimated over submission of 6,031 kWh per annum.</p> <p>Four lights reported in both the Auckland Transport and Ardmore Airport database resulting in an estimated 2,140 kWh per annum of over submission to the market.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls in place are rated as weak as the database is not being maintained as expected.</p> <p>The impact is assessed to be low, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
We are liaising with Ardmore Airport to investigate and update the database as required.	June 2022	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Our customer is aware of the requirements to provide accurate and timely database updates. We will remind our customer of the importance of this and will continue to work with them to improve database maintenance processes.	Ongoing	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: 15.2 and 15.37B(c)</p> <p>From: 01-Jun-17</p> <p>To: 20-May-22</p>	<p>The database has an accuracy rate of 80% and will be resulting in an estimated over submission of 6,031 kWh per annum.</p> <p>Four lights reported in both the Auckland Transport and Ardmore Airport database resulting in an estimated 2,140 kWh per annum of over submission to the market.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls in place are rated as weak as the database is not being maintained as expected.</p> <p>The impact is assessed to be low, based on the minor kWh differences described above.</p>	
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