

## Compliance plan for Wairoa DC DUML 2022

Deriving submission information			
Non-compliance	Description		
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Jun-21</p> <p>To: 26-May-22</p>	<p>The database is outside of the allowable +/-5% threshold. In absolute terms, total annual consumption is estimated to be 46,000 kWh lower than the DUML database indicates.</p> <p>5x 125W MV lights with the incorrect ballast applied resulting in a very minor estimated over submission of 299kWh per annum.</p> <p>There are 41 LED items of load with the ballast wattage still recorded in the database. This has resulted in over submission of 2,387 kWh per annum.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 6</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Medium</b>	<p>The controls are rated as weak because they are insufficient to ensure database accuracy.</p> <p>The impact is assessed to be medium, based on the kWh differences described above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Genesis has advised Wairoa DC of the audit findings with the intent that the District Council will ensure that corrections are made to the RAMM data base within a timely manner.		01/09/2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Genesis will continue to work with the District Council in order to improve the data base accuracy.		Continuous improvement.	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Jun-21</p> <p>To: 26-May-22</p>	<p>The database is outside of the allowable +/-5% threshold. In absolute terms, total annual consumption is estimated to be 46,000 kWh lower than the DUML database indicates.</p> <p>5x 125W MV lights with the incorrect ballast applied resulting in a very minor estimated over submission of 299kWh per annum.</p> <p>There are 41 LED items of load with the ballast wattage still recorded in the database. This has resulted in over submission of 2,387 kWh per annum</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 6</p>		
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Genesis will continue to work with the District Council in order to improve the data base accuracy.		Continuous improvement.	

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Jun-21</p> <p>To: 26-May-22</p>	<p>The database is outside of the allowable +/-5% threshold. In absolute terms, total annual consumption is estimated to be 46,000 kWh lower than the DUML database indicates.</p> <p>5x 125W MV lights with the incorrect ballast applied resulting in a very minor estimated over submission of 299kWh per annum.</p> <p>There are 41 LED items of load with the ballast wattage still recorded in the database. This has resulted in over submission of 2,387 kWh per annum.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 6</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Medium</b>	<p>The controls are rated as weak because they are insufficient to ensure database accuracy.</p> <p>The impact is assessed to be medium, based on the kWh differences described above.</p>		
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