

Compliance plan for Central Hawkes Bay District Council DUML 2022

Location of each item of load			
Non-compliance	Description		
Audit Ref: 2.3 With: Clause 11(2)(b) of Schedule 15.3 From: 01-May-19 To: 23-May-22	Insufficient information to locate some under veranda lighting. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. The impact on settlement and participants is minor; therefore the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Genesis has advised the council of the audit outcome regarding the insufficient information locating under veranda lighting; with the intent that council makes every effort to ensure the exceptions are rectified.		Continuous Improvement	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	