**Notice of the Authority’s decision under regulation 29 of the Electricity Industry (Enforcement) Regulations 2010**

Under regulation 29(1) of the Electricity Industry (Enforcement) Regulations 2010 (Regulations) the Electricity Authority (Authority) must publicise every decision made under regulation 23(3) of the Regulations, together with the reasons for the Authority’s decision.

**Investigation**

On 5 August 2020, the Authority appointed an investigator under regulation 12 of the Regulations to investigate the alleged breach of clause 10.6 of the Electricity Industry Participation Code 2010 by Advanced Metering Services Limited (AMS). The breach was alleged by the Authority.

On 10 September 2021, the Authority formed the view that AMS breached clause 10.6 when it provided misleading or deceptive information in the form of edited certificates to an Authority appointed auditor on several occasions between May 2019 and March 2020.

**The Authority’s decision**

On 10 September 2021, the Authority decided under regulation 23(3)(a) of the Regulations to discontinue the investigation and issue a strong warning letter.

**Reasons for the Authority’s decision**

The reasons for the Authority’s decision to discontinue the investigation were that:

* AMS admitted the breach and there is no significant market impact as the edited certificates were not shared with any parties other than the auditor
* the edited certificates did not relate to any safety or security matters
* the edited certificates were not found in AMS’ database, which at all times held the original, unedited certificates
* The breach was the result of the actions of one individual within AMS.
* AMS have put in place additional controls regarding management and security of certification records.