



ICP identifier and items of load		
Non-compliance	Description	
Audit Ref: 2.2 With: Clause 11(2)(a) and (aa) of Schedule 15.3 From: 01-Mar-20 To: 01-Jun-21	ICP not recorded for three items of load. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as moderate because there is room for improvement. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
A full review of database discrepancies has been conducted and provided to PCC for resolution. We will continue to liaise with PCC to ensure action is taken to resolve these issues.	31 Dec 2021	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Location of each item of load			
Non-compliance	Description		
Audit Ref: 2.3 With: Clause 11(2)(b) of Schedule 15.3 From: 01-Aug-19 To: 01-Jun-21	One item of load does not have sufficient location information to enable it to be readily locatable. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Weak Breach risk rating: 3		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	The controls are recorded as weak because although only one example was found, there does not appear to be a process to identify and resolve discrepancies. The impact on settlement and participants is minor; therefore, the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
A full review of database discrepancies has been conducted and provided to PCC for resolution. We will continue to liaise with PCC to ensure action is taken to resolve these issues.		31 Dec 2021	
Preventative actions taken to ensure no further issues will occur		Completion date	

Description and capacity of load			
Non-compliance	Description		
Audit Ref: 2.4 With: Clause 11(2)(b) of Schedule 15.3 From: 01-Mar-20 To: 01-Jun-21	35 lights have insufficient information to determine the light type. One lamp wattage recorded as zero. 29 lamp wattages and descriptions are blank. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Weak Breach risk rating: 3		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	The controls are rated as weak because there does not appear to be a process in place to identify and resolve discrepancies. The audit risk rating is low based on the small volume of lights affected.		
Actions taken to resolve the issue		Completion date	Remedial action status
A full review of database discrepancies has been conducted and provided to PCC for resolution. We will continue to liaise with PCC to ensure action is taken to resolve these issues.		31 Dec 2021	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

All load recorded in database			
Non-compliance	Description		
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-Mar-20 To: 01-Jun-21	Five additional lamps in the field that were not recorded in the database. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Weak Breach risk rating: 3		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	The controls are rated as weak because there does not appear to be a process in place to identify and resolve discrepancies. The audit risk rating is low based on the small volume of lights affected.		
Actions taken to resolve the issue		Completion date	Remedial action status
A full review of database discrepancies has been conducted and provided to PCC for resolution. We will continue to liaise with PCC to ensure action is taken to resolve these issues.		31 Dec 2021	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Mar-20</p> <p>To: 01-Jun-21</p>	<p>3 items of load do not have the ICP recorded.</p> <p>One item of load does not have complete location information.</p> <p>2,028 examples of incorrect or incomplete description or capacity information.</p> <p>Database is not confirmed as accurate with a 95% level of confidence.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates not recorded for new connections.</p> <p>Under submission of 141,000 kWh due to inaccurate parks lighting records in RAMM.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>		
Audit risk rating	Rationale for audit risk rating		
<b>High</b>	<p>The controls over the database are rated as weak, due to the large number of discrepancies identified during the field count and analysis of the RAMM database extract.</p> <p>The audit risk rating is high based on kWh variances.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
A full review of database discrepancies has been conducted and provided to PCC for resolution. We will continue to liaise with PCC to ensure action is taken to resolve these issues.		31 Dec 2021	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Mar-20</p> <p>To: 01-Jun-21</p>	<p>Under submission of 141,000 kWh due to inaccurate parks lighting records in RAMM.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates not recorded for new connections.</p> <p>Database is not confirmed as accurate with a 95% level of confidence as recorded in section 3.1.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>		
Audit risk rating	Rationale for audit risk rating		
<b>High</b>	<p>The controls over the database are rated as weak, due to the large proportion of discrepancies identified during the field count and analysis of the RAMM database extract.</p> <p>The audit risk rating is high based on kWh variances detailed above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Porirua CC have engaged the services of an external contractor who has reviewed the database and the findings of this audit and provided recommendations to the council regarding action required to resolve the issues identified.</p> <p>Meridian is actively engaging with PCC to establish when this action will be taken including sourcing of correct information for the Parks and Property lighting.</p> <p>Historic submission information will be corrected as required.</p>		31 Dec 2021	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	