

Compliance plan for NZTA BOP West– 2021

Deriving submission information			
Non-compliance	Description		
Audit Ref: 2.1 Clause 11(1) of Schedule 15.3 From: 01-Sep-20 To: 21-Mar-21	The database data was 0.77% higher than the field data for Veritek’s 100% field audit. This will result in over submission of 1,332 kWh per annum. Potential impact: Medium Actual impact: Low Audit history: Three times Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. The impact on settlement and participants is minor; therefore the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Westlink is not responsible for capital works and these updates can take several months, even several years.		Ongoing	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Trustpower will initiate talks with NZTA Tga to be informed on new capital works. The new Powerco connection process will be initiated with NZTA capital works contractors.		ASAP	

Database accuracy			
Non-compliance	Description		
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 01-Sep-20 To: 21-Mar-21	The database data was 0.77% higher than the field data for Veritek's 100% field audit. This will result in over submission of 1,332 kWh per annum. Potential impact: Medium Actual impact: Low Audit history: Three times Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. The impact on settlement and participants is minor; therefore the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Westlink is not responsible for capital works and these updates can take several months, even several years.		Ongoing	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Trustpower will initiate talks with NZTA Tga to be informed on new capital works. The new Powerco connection process will be initiated with NZTA capital works contractors.		ASAP	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>Clause 15.2 and 15.37B(c)</p> <p>From: 01-Sep-20</p> <p>To: 21-Mar-21</p>	<p>The database data was 0.77% higher than the field data for Veritek's 100% field audit. This will result in over submission of 1,332 kWh per annum.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Three times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Westlink is not responsible for capital works and these updates can take several months, even several years.	Ongoing	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Trustpower will initiate talks with NZTA Tga to be informed on new capital works. The new Powerco connection process will be initiated with NZTA capital works contractors.	ASAP	