

Compliance plan for Western Bay PR DUML Audit – 2021

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 15-May-18 To: 20-May-21	Two additional lights found in the field. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate as controls will mitigate risk most of the time, but there is room for improvement. The audit risk rating is assessed to be low based on the potential submission inaccuracies.	
Actions taken to resolve the issue	Completion date	Remedial action status
Customer will verify and advise correct wattages	30 June 2021	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Customer asked to notify Trustpower on a monthly basis. We will monitor and follow up as needed.	Ongoing	