

Compliance plan for Ocean Shores DUML – 2021

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: unknown To: 12-May-21	Eight items of load incorrectly calculated as single lights when they are double resulting in a minor an estimated minor under submission of 692 kWh per annum. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is assessed to be low as the volume of estimated minor under submission is very minor.	
Actions taken to resolve the issue	Completion date	Remedial action status
Field audit undertaken and lamp wattages verified. Recon has been updated.	31 May 21	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Customer asked to advise Trustpower of any changes. New staff on customer site and they did not know requirements. We will continue to monitor.	Ongoing	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: unknown To: 12-May-21	Eight items of load incorrectly calculated as single lights when they are double resulting in a minor an estimated minor under submission of 692 kWh per annum. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is assessed to be low as the volume of estimated minor under submission is very minor.	
Actions taken to resolve the issue	Completion date	Remedial action status
Field audit undertaken and lamp wattages verified. Recon has been updated.	31 May 21	Identified
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