

Compliance plan for Nelson NZTA – 2021

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: Unknown To: 28-Oct-20	One additional light found in the field. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate, because they are sufficient to ensure that lamp information is correctly recorded most of the time. The impact is assessed to be low as only one additional item of load was found in the field audit.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have requested that NZTA make these changes to the Database and we are expecting to see that this has occurred by 31st Jan 2021. We will monitor to ensure that this happens.	13/1/2021	Identified
Preventative actions taken to ensure no further issue will occur	Completion date	
NA		