

Compliance plan for Papakura Power - 2021

Non-compliance	Description		
Audit Ref: 3.3 With: 10 of Schedule 11.1 From: 07-Jan-21 To: 15-Feb-21	One late update of ANZSIC code as result of backdated switch Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are recorded as strong. Backdated update for one ICP was caused by backdated switch which was compliant. Audit risk low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Correction made as soon as EMS were aware.			Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
There are not likely to be any changes required to the two ICPs in the future that would require ANZSIC codes to be altered.			

Non-compliance	Description		
<p>Audit Ref: 9.4</p> <p>With: 15 of Schedule 15.2</p> <p>From: 01-Mar-21</p> <p>To: 06-Apr-21</p>	<p>Incorrect data estimation (March 2021) for ICP 1099566354CNB0F</p> <p>Potential impact: None</p> <p>Actual impact: None</p> <p>Audit history: None</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are recorded as strong .Incorrect estimation was caused by incorrect setup in the EMS system caused by difficulties to get meter data from Intellihub. It is one off situation. Audit risk low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Data has been recalculated and corrected.			Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
EMS has reached agreement with IHUB to obtain data formats in a manner that is acceptable to them to be able to upload.			

Non-compliance	Description		
Audit Ref: 11.4 With: 15.8 From: 07-Jan-21 To: 30-Apr-21	HHRAGGR files do not contain electricity supplied information Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	EMS submits submissions volumes as per the Reconciliation Manager specification.		
Actions taken to resolve the issue		Completion date	Remedial action status
No action can be taken.			Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
We understand this anomaly has been in place for some time and would like to see the Authority make resolution.			