

## Compliance plan for Wellington City Council Unmetered Traffic Lights – 2021

Deriving submission information			
Non-compliance	Description		
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: unknown To: 24-May-21	All load is reconciled to one ICP, but the load is connected to multiple NSPs which requires an ICP per NSP. Potential impact: Low Actual impact: None Audit history: None Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is assessed to be low as there is no direct impact on submission.		
Actions taken to resolve the issue		Completion date	Remedial action status
We will work with WCC and Wellington Electricity to have additional ICPs created for each NSP the load is connected to.		31 July 2021	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

ICP identifier and items of load		
Non-compliance	Description	
Audit Ref: 2.2 With: Clause 11(2)(a) and (aa) of Schedule 15.3 From: unknown To: 24-May-21	All load is reconciled to one ICP, but the load is connected to multiple NSPs which requires an ICP per NSP. Potential impact: Low Actual impact: None Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is assessed to be low as there is no direct impact on submission.	
Actions taken to resolve the issue	Completion date	Remedial action status
We will work with WCC and Wellington Electricity to have additional ICPs created for each NSP the load is connected to.	31 July 2021	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: unknown To: 24-May-21	All load is reconciled to one ICP, but the load is connected to multiple NSPs which requires an ICP per NSP. Potential impact: Low Actual impact: None Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is assessed to be low as there is no direct impact on submission.	
Actions taken to resolve the issue	Completion date	Remedial action status
We will work with WCC and Wellington Electricity to have additional ICPs created for each NSP the load is connected to.	31 July 2021	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	