

## Timaru District Council DUML Compliance Plan 2021

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Nov-19 To: 06-May-21</p>	<p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are not recorded for new connections, and lamp installation dates are replaced where lights change.</p> <p>18 lamps have incorrect total wattages, resulting in an estimated under submission of 397 kWh p.a. based on 4,271 burn hours.</p> <p>Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2</p>	
Audit risk rating		
<b>Low</b>	<p>The controls over the database are rated as moderate, because the database update processes will ensure that in most cases the change date reflects the date that the change is made; and only a small number of lights had incorrect gear wattages and were corrected.</p> <p>The impact is expected to be low based on the kWh variances identified.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Genesis have discussed the requirement in length with the council. Genesis and TDC are trying to ascertain a resolution to how the requirements can be met. Genesis has received the May report which has a vast improvement <b>with only 5 exceptions that remain.</b></p> <p>As the lamp replacements within May 2021 have occurred as @ 01/05/2021 it is had at confirm whether the reporting is able to meet the required change tracking.</p>	01/06/2021	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Genesis continues to assist the council in providing the required information to meet the obligations of DUML.		

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Nov-19</p> <p>To: 06-May-21</p>	<p>Livening dates are not recorded for new connections, and lamp installation dates are replaced where lights change.</p> <p>18 lights had incorrect gear wattages recorded resulting in under submission of 93 W or 397 kWh per annum (based on 4,271 burn hours).</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>The controls over the database are rated as moderate, because the database update processes will ensure that in most cases the change date reflects the date that the change is made; and only a small number of lights had incorrect gear wattages and were corrected.</p> <p>The impact is expected to be low based on the kWh variances identified.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Genesis have discussed the requirement in length with the council. Genesis and TDC are trying to ascertain a resolution to how the requirements can be met. Genesis has received the May report which has a vast improvement <b>with only 5 exceptions that remain.</b></p> <p>As the lamp replacements within May 2021 have occurred as @ 01/05/2021 it is had at confirm whether the reporting is able to meet the required change tracking.</p>		01/06/2021	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Genesis continues to assist the council in providing the required information to meet the obligations of DUML.			

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Nov-19</p> <p>To: 06-May-21</p>	<p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are not recorded for new connections, and lamp installation dates are replaced where lights change.</p> <p>18 lights had incorrect gear wattages recorded resulting in a very minor under submission of 397 kWh per annum (based on 4,271 burn hours).</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>The controls over the database are rated as moderate, because the database update processes will ensure that in most cases the change date reflects the date that the change is made; and only a small number of lights had incorrect gear wattages and were corrected.</p> <p>The impact is expected to be low based on the kWh variances identified.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Genesis have discussed the requirement in length with the council. Genesis and TDC are trying to ascertain a resolution to how the requirements can be met. Genesis has received the May report which has a vast improvement <b>with only 5 exceptions that remain.</b></p> <p>As the lamp replacements within May 2021 have occurred as @ 01/05/2021 it is had at confirm whether the reporting is able to meet the required change tracking.</p>		01/06/2021	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Genesis continues to assist the council in providing the required information to meet the obligations of DUML.			