

Compliance Plan for Asset Plus Limited (TTSR) -2020

Responsibility for metering information for NSP that is not a POC to the grid		
Non-compliance	Description	
<p>Audit Ref: 6.8</p> <p>With: Clause 10.25(1) and 10.25(3)</p> <p>From: 29-Apr-16</p> <p>To: 09-Aug-17</p>	<p>The meter installation certification expiry date advised late after recertification for NSP TRC0011.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as moderate, as there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
This was actually the correction of an incorrect date entry; as part of a routine review of expiry dates in 2017 we discovered that the certification expiry date was listed as 31/12/2027 – this looked incorrect (being >10 years) and was investigated and subsequently corrected to 31/03/2021.		9/8/2017
Preventative actions taken to ensure no further issues will occur		Completion date
This issue was caused by an incorrect date being entered; we are uncertain as to the reason this happened however since this time we have implemented controls such as regular reminders and peer reviews which should prevent reoccurrence.		9/8/2017
		Cleared