

Compliance Plan for VDNZ Distributor – 2020

Changes to registry information			
Non-compliance	Description		
Audit Ref: 4.1 With: Clause 8 Schedule 11.1 From: 17-Dec-18 To: 19-Dec-18	Two late status updates. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as strong, as they are sufficient to ensure updates are on time most of the time. The risk is low as a small number of ICPs are affected, and the registry was updated very soon after VDNZ confirmed that the ICPs should be decommissioned.		
Actions taken to resolve the issue		Completion date	Remedial action status
The processes we have in place picked up the status change within 24hrs of the initial entry however there was a delay confirming the validity of the decommissioning which meant that we updated the registry 2 days late.		19/12/2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
The reporting is working well; however, we have placed more emphasis on timely confirmation at our end to ensure future compliance.			