

Compliance plan for Whakapapa Village (DoC and Meridian Energy) – 2020

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 21-Apr-20 To: 09-Nov-20	Two LED driver notification signs do not have wattage information recorded. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate. Most items of load are correctly recorded. The driver notification signs are recorded in the database, but their wattages are missing. The audit risk rating is low because there is a minor impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
We are awaiting information from DoC regarding wattages for the driver notification signs.	31 Dec 2020	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	

Description and capacity of load	
Non-compliance	Description
Audit Ref: 2.4 With: Clause 11(2)(c) and (d) of Schedule 15.3 From: 21-Apr-20 To: 09-Nov-20	Two LED driver notification signs do not have wattage information recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2
Audit risk rating	Rationale for audit risk rating
Low	The controls are moderate. Most items of load are correctly recorded. The driver notification signs are recorded in the database, but their wattages are missing. The audit risk rating is low because there is a minor impact on settlement.

Actions taken to resolve the issue	Completion date	Remedial action status
We are awaiting information from DoC regarding wattages for the driver notification signs.	31 Dec 2020	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 21-Apr-20 To: 09-Nov-20	Two LED driver notification signs do not have wattage information recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate. Most items of load are correctly recorded. The driver notification signs are recorded in the database, but their wattages are missing. The audit risk rating is low because there is a minor impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
We are awaiting information from DoC regarding wattages for the driver notification signs.	31 Dec 2020	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: 21-Apr-20 To: 09-Nov-20	Two LED driver notification signs do not have wattage information recorded. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate. Most items of load are correctly recorded. The driver notification signs are recorded in the database, but their wattages are missing. The audit risk rating is low because there is a minor impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
We are awaiting information from DoC regarding wattages for the driver notification signs.	31 Dec 2020	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	