

## Compliance plan for NZTA Kaitoke DUML (Meridian Energy) – 2020

Distributed unmetered load audits		
Non-compliance	Description	
Audit Ref: 1.10 With: Clause 17.295F  From: 01-Jun-18 To: 21-Oct-20	The audit was not completed by the due date.  Potential impact: Low  Actual impact: Low  Audit history: None  Controls: Strong  Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are rated as strong because the audit was attempted on time, but could not be completed because a copy of the RAMM database was unavailable.  The impact is low, the database has now been received and the audit has been completed.	
Actions taken to resolve the issue	Completion date	Remedial action status
The audit was completed as soon as the lights associated with the ICP could be confirmed and a database containing them was identified.	Oct 2020	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Deriving submission information			
Non-compliance	Description		
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Apr-20 To: 06-Oct-20</p>	<p>Submission was based on historic information from Wellington Electricity up to July 2020.</p> <p>The database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Change dates are recorded as 11/05/20 for all lamps. Future change dates are expected to be recorded accurately using Pocket RAMM at the time the change occurs.</p> <p>Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>Controls are rated as strong, because the RAMM database is now used for submission and future change dates are expected to be recorded correctly.</p> <p>The impact of historical use of the Wellington Electricity database information is estimated to be low - approximately 1.76 kW or 7,516 kWh per annum. It is not possible to accurately determine the historic differences.</p> <p>Changes to the NZTA Kaitoke lights are rare, and the incorrect change dates and snapshot reports are expected to have a low impact on submission volumes.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>We are revising submissions based on database information back to May 2020 when the database content was verified.</p> <p>The database will be used to calculate future submissions taking onto account any changes recorded during the month.</p>		Nov 2020	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b)</p> <p>From: 11-May-20 To: 06-Oct-20</p>	<p>Change dates are recorded as 11/05/20 for all lamps. Future change dates are expected to be recorded accurately using Pocket RAMM at the time the change occurs.</p> <p>Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>Controls are rated as strong because the future change dates are expected to be recorded correctly.</p> <p>Changes to the NZTA Kaitoke lights are rare, and the incorrect change dates are expected to have a low impact on submission volumes.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>The issue of historic change dates not being recorded is not able to be resolved as this information is not known.</p> <p>We are revising submissions based on database information back to May 2020 when the database content was verified.</p>		Nov 2020	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

Volume information accuracy	
Non-compliance	Description
<p>Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Apr-20 To: 06-Oct-20</p>	<p>Submission was based on historic information from Wellington Electricity up to July 2020.</p> <p>The database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Change dates are recorded as 11/05/20 for all lamps. Future change dates are expected to be recorded accurately using Pocket RAMM at the time the change occurs.</p> <p>Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1</p>

Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>Controls are rated as strong, because the RAMM database is now used for submission and future change dates are expected to be recorded correctly.</p> <p>The impact of historical use of the Wellington Electricity database information is estimated to be low - approximately 1.76 kW or 7,516 kWh per annum. It is not possible to accurately determine the historic differences.</p> <p>Changes to the NZTA Kaitoke lights are rare, and the incorrect change dates and snapshot reports are expected to have a low impact on submission volumes.</p>		
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