

## Compliance plan for Ashburton DC (Meridian) – 2020

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 28-Feb-20 To: 28-Feb-20</p>	<p>Three lights are assigned to ICP 0000000000EAZZZ, which is used to track lights with unknown owners. One light is settled under another ICP, and two lights are unsettled.</p> <p>Three lights (light IDs 10727, 10729 and 10734) had missing gear wattages, and were corrected by the time the audit was complete. The errors could have resulted under reporting of 55 kWh p.a.</p> <p>Incorrect wattages were recorded for 11 connected lights and were corrected during the audit. The errors could have resulted under reporting of 154 kWh p.a.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium Actual impact: Unknown Audit history: Twice Controls: Moderate Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as moderate as they are sufficient to ensure most database information is accurate.</p> <p>The impact is assessed to be low.</p> <ul style="list-style-type: none"> <li>• The lights recorded under ICP 0000000000EAZZZ are in the process of being metered. Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB. The total load for light IDs 7901 and 7902 is 228 W or 973 kWh p.a.</li> <li>• The missing and incorrect wattages have been corrected.</li> <li>• The database accuracy assessment indicated that the impact of inaccurate data is likely to be low.</li> </ul>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Light IDs 7901 and 7902 recorded under ICP 0000000000EAZZZ are in the process of being metered. We understand these are not council owned lights and the customer for them is with another retailer.</p> <p>Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB – Meridian will update UML details</p> <p>The missing and incorrect wattages have been corrected.</p>	<p>31/07/2020</p> <p>Complete</p>	<p>Identified</p>

Preventative actions taken to ensure no further issues will occur	Completion date
Controls for maintenance of the database are considered generally robust.	Ongoing

ICP identifier and items of load		
Non-compliance	Description	
<p>Audit Ref: 2.2</p> <p>With: Clause 11(2)(a) and (aa) of Schedule 15.3</p> <p>From: 01-Apr-19</p> <p>To: 28-Feb-20</p>	<p>Three lights (light IDs 7962, 7901 and 7902) were assigned to ICP 0000000000EAZZZ, which is used to track lights with unknown owners and is not settled.</p> <p>Potential impact: Low</p> <p>Actual impact: Unknown</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as moderate, because almost all lights are assigned to a settled ICP.</p> <p>The impact is assessed to be low:</p> <ul style="list-style-type: none"> <li>Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB.</li> <li>The total load for light IDs 7901 and 7902 is 228 W or 973 kWh p.a.</li> </ul>	
Actions taken to resolve the issue		Completion date
<p>Light IDs 7901 and 7902 recorded under ICP 0000000000EAZZZ are in the process of being metered. We understand these are not council owned lights and the customer for them is with another retailer.</p> <p>Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB – Meridian will update UML details and ask EASH to record the correct ICP for this light.</p>		31/07/2020
Preventative actions taken to ensure no further issues will occur		Completion date
		Identified

Description and capacity of load			
Non-compliance	Description		
<p>Audit Ref: 2.4</p> <p>With: Clause 11(2)(c) and (d) of Schedule 15.3</p> <p>From: 28-Feb-20</p> <p>To: 28-Feb-20</p>	<p>Three lights (light IDs 10727, 10729 and 10734) had missing gear wattages, and were corrected by the time the audit was complete.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>Controls are rated as strong because almost all items of load have model, lamp wattage and gear wattages recorded.</p> <p>The impact is assessed to be low. Three items of load temporarily had missing gear wattages, which could result in under reporting of 13W or 55 kWh p.a. based on 4,271 annual burn hours.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Missing gear wattage has been corrected.		Complete	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
We consider controls are adequate to ensure wattages are recorded as only one light that had an applicable gear wattage was not recorded.			

Database accuracy	
Non-compliance	Description
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 28-Feb-20</p> <p>To: 28-Feb-20</p>	<p>Three lights are assigned to ICP 0000000000EAZZZ, which is used to track lights with unknown owners. One light is settled under another ICP, and two lights are unsettled.</p> <p>Three lights (light IDs 10727, 10729 and 10734) had missing gear wattages, and were corrected by the time the audit was complete. The errors could have resulted under reporting of 55 kWh p.a.</p> <p>Incorrect wattages were recorded for 11 connected lights and were corrected during the audit. The errors could have resulted under reporting of 154 kWh p.a.</p> <p>Potential impact: Medium</p> <p>Actual impact: Unknown</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>

Audit risk rating	Rationale for audit risk rating		
<p><b>Low</b></p>	<p>The controls are rated as moderate as they are sufficient to ensure most database information is accurate.</p> <p>The impact is assessed to be low.</p> <ul style="list-style-type: none"> <li>• The ICPs recorded under ICP 0000000000EAZZZ are in the process of being metered. Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB. The total load for light IDs 7901 and 7902 is 228 W or 973 kWh p.a.</li> <li>• Three items of load temporarily had missing gear wattages, which could result in under reporting of 13W or 55 kWh p.a. based on 4,271 annual burn hours.</li> <li>• The incorrect wattages have been corrected.</li> </ul> <p>The database accuracy assessment indicated that the impact of inaccurate data is likely to be low.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Light IDs 7901 and 7902 recorded under ICP 0000000000EAZZZ are in the process of being metered. We understand these are not council owned lights and the customer for them is with another retailer.</p> <p>Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB – Meridian will update UML details</p> <p>The missing and incorrect wattages have been corrected.</p>		<p>31/07/2020</p> <p>Complete</p>	<p>Identified</p>
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Controls for maintenance of the database are considered generally robust.</p>		<p>Ongoing</p>	

Volume information accuracy	
Non-compliance	Description
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 28-Feb-20</p>	<p>Three lights are assigned to ICP 0000000000EAZZZ, which is used to track lights with unknown owners. One light is settled under another ICP, and two lights are unsettled.</p> <p>Three lights (light IDs 10727, 10729 and 10734) had missing gear wattages, and were corrected by the time the audit was complete. The errors could have resulted under reporting of 55 kWh p.a.</p> <p>Incorrect wattages were recorded for 11 connected lights and were corrected during the audit. The errors could have resulted under reporting of 154 kWh p.a.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium</p>

To: 28-Feb-20	Actual impact: Unknown Audit history: Twice Controls: Moderate Breach risk rating: 2		
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>		
<b>Low</b>	The controls are rated as moderate as they are sufficient to ensure most database information is accurate. The impact is assessed to be low: <ul style="list-style-type: none"> <li>• The ICPs recorded under ICP 0000000000EAZZZ are in the process of being metered. Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB. The total load for light IDs 7901 and 7902 is 228 W or 973 kWh p.a.</li> <li>• The missing and incorrect wattages have been corrected.</li> <li>• The database accuracy assessment indicated that the impact of inaccurate data is likely to be low.</li> </ul>		
<b>Actions taken to resolve the issue</b>		<b>Completion date</b>	<b>Remedial action status</b>
Light IDs 7901 and 7902 recorded under ICP 0000000000EAZZZ are in the process of being metered. We understand these are not council owned lights and the customer for them is with another retailer. Light ID 7962 is settled as standard unmetered load under ICP 0000025557EA8EB – Meridian will update UML details The missing and incorrect wattages have been corrected.		31/07/2020  Complete	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>		<b>Completion date</b>	
Controls for maintenance of the database are considered generally robust.		Ongoing	