

Compliance plan for Grey District Council DUML – 2020

Non-compliance	Description		
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 01-Jun-18 To: 05-May-20	One item of load has no wattage information recorded in the database. The data used for submission does not track changes at a daily basis and is provided as a snapshot. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are rated as moderate, because most items of load have capacity and wattage information recorded. The impact is assessed to be low because only one item of load is affected.		
Actions taken to resolve the issue		Completion date	Remedial action status
We will endeavour to work with ElectroNet to resolve this issue indicated in the audit		Ongoing	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	
Work closely with ElectroNet to ensure an ongoing accurate database is maintained		Ongoing	

Non-compliance	Description		
Audit Ref: 2.4 With: Clause 11(2)(c) and (d) of Schedule 15.3 From: 01-Jun-19 To: 05-May-20	2 items of load have missing capacity and/or lamp type information. Potential impact: Low Actual impact: Low Audit history: three times Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are rated as moderate, because most items of load have capacity and wattage information recorded. The impact is assessed to be low because only two items of load are affected.		
Actions taken to resolve the issue		Completion date	Remedial action status
Pioneer to follow up on ElectroNet investigations regarding database accuracy		Ongoing	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	
Work closely with ElectroNet to ensure an ongoing accurate database is maintained		Ongoing	

Non-compliance	Description		
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 01-Jun-19 To: 05-May-20	One item of load has no wattage information recorded in the database. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are rated as moderate, because most items of load have capacity and wattage information recorded. The impact is assessed to be low because only one item of load is affected.		
Actions taken to resolve the issue		Completion date	Remedial action status
Pioneer to follow up with Electronet regarding the one item of load that has no wattage information recorded in the database		Ongoing	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	
Work closely with ElectroNet to ensure an ongoing accurate database is maintained		Ongoing	

Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Jun-19</p> <p>To: 05-May-20</p>	<p>One item of load has no wattage information recorded in the database.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
<p>Low</p>	<p>The controls are rated as moderate, because most items of load have capacity and wattage information recorded.</p> <p>The impact is assessed to be low because only one item of load is affected.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Pioneer to follow up on ElectroNet investigations regarding database accuracy</p>		<p>Ongoing</p>	<p>Investigating</p>
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Work closely with ElectroNet to ensure an ongoing accurate database is maintained</p>		<p>Ongoing</p>	