

Compliance Plan for KINETIC NETWORKS LTD (EDCL)

Timeliness of Provision of Initial Electrical Connection Date		
Non-compliance	Description	
Audit Ref: 3.5 With: Clause 7(2A) of Schedule 11.1 From: 01-Mar-18 To: 05-Mar-20	Six new connections did not have an initial electrical connection date populated within 10 business days of electrical connection. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate as they are sufficient to ensure that initial electrical connection dates are updated on time most of the time. The impact is low because of the low number of late updates.	
Actions taken to resolve the issue	Completion date	Remedial action status
All late updates were checked, to determine the reasons for the late updates. These were all due to late metering events by the MEP.	7/4/2020	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
Tenco have greater resources and more robust systems, further reducing the possibility that this issue reoccurs	18/09/2019	

Connection of NSP that is not point of connection to grid		
Non-compliance	Description	
Audit Ref: 3.9 With: Clause 10.30 From: 04-Sep-18 To: 05-Mar-20	The Reconciliation Manager was advised after 23 business days for ERS0011. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong, as they are sufficient to ensure updates are on time most of the time. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Reconciliation manager advised of certification expiry date. Determined lateness due to late notification by the MEP.	21/11/2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Monitoring of certificate request dates using workflows and system Dashboard. Monitoring MEP certificate delivery timeliness.	On going	

Changes to registry information		
Non-compliance	Description	
Audit Ref: 4.1 With: Clause 8 Schedule 11.1 From: 01-Mar-18 To: 05-Mar-20	1 late pricing event. Potential impact: Low Actual impact: Low Audit history: Three times previously Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, as they are sufficient to ensure updates are on time most of the time. The risk is low as a small number of ICPs are affected, and the registry was updated very soon after TENCO became aware that an update was required.	
Actions taken to resolve the issue	Completion date	Remedial action status
Updated very soon after TENCO became aware that an update was required	20/8/2019	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
User documentation and guidance provided to user operating as holiday cover.	8/11/2019	

Responsibility for metering information when creating an NSP that is not a POC to the grid		
Non-compliance	Description	
Audit Ref: 6.9 With: Clause 10.25(2) From: 04-Sep-18 To: 05-Mar-20	The meter installation certification expiry date advised after 23 days for NSP ERS0011. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong, as they are sufficient to ensure updates are on time most of the time. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Reconciliation manager advised of certification expiry date. Determined lateness due to late notification by the MEP.	21/11/2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Monitoring of certificate request dates using workflows and system Dashboard. Monitoring MEP certificate delivery timeliness.	On going	