

Compliance plan for Trustpower Distributor – 2020

Timeliness of Provision of ICP Information to the registry manager		
Non-compliance	Description	
Audit Ref: 3.4 With: Clause 7(2) of Schedule 11.1 From: 19-Mar-19 To: 26-Mar-19	Late change to “Ready” for ICP 0000508580DEC75 Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
This was the metering of the weir which was already live and the issuing of the ICP was as a result of the installation of the metering at the ICP. Dates are based on meter installation date.	Completed	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
[This was the metering of the weir which was already live and the issuing of the ICP was as a result of the installation of the metering at the ICP. Dates are based on meter installation date.	Completed	