

## Compliance plan for Acacia Cove DUML – 2020

Location of each item of load		
Non-compliance	Description	
Audit Ref: 2.3 With: 11(2)(b) of Schedule 15.3  From: 01-Jun-17 To: 29-Apr-20	11 items of load with insufficient location details because they are recorded as "scattered around" Potential impact: Low Actual impact: Low Audit history: Twice previously Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls in place are rated as weak as the database is not being maintained as expected.  The volume associated with these lights is small therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have contacted our customer and requested further detail of the location of these items be provided.	May 2020	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have contacted our customer and requested further detail of the location of these items be provided.	May 2020	