

# Tararua District Council DUML 2019

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Oct-19</p> <p>To: 31-Oct-19</p>	<p>The database is not confirmed as accurate with a 95% level of confidence as recorded in <b>section 3.1</b>.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are recorded as the installation date for new connections, and change dates may not reflect the date of the change if they are not processed in RAMM at the time that the change occurs.</p> <p>Eight items of load had a missing gear wattage, and two items of load had invalid gear wattages of zero.</p> <p>89 items of load had invalid gear wattages for their lamp model description.</p> <p>Light ID 2642 (betacom 27w led) was incorrectly recorded with ICP 0009100000CADDCC, and was corrected to 0009102000CAE9C during the audit.</p> <p>Potential impact: High</p> <p>Actual impact: Unknown</p> <p>Audit history: Three times</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls over the database are rated as weak, because there were a relatively large number of discrepancies identified during the field audit, particularly for Dannevirke.</p> <p>The impact is assessed to be low based on the kWh differences identified. The incorrect ICP number for one lamp has a minor impact, because the NSPs for the associated ICPs are in different balancing areas. The differences resulting from using a monthly snapshot instead of daily data are not expected to be significant based on the volume of changes and new connections occurring.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Genesis has only recently gained this customer as @ 01/10/2019, it is clear, the previous trader has not been proactive in dealing with Tararua DC database, which has led to a shortened review period for Genesis.</p> <p>Genesis will be working with Tararua DC over the next few months to cleanse the exceptions identified within the database.</p>	01/03/2020	Identified

Preventative actions taken to ensure no further issues will occur	Completion date
Review the current council dataset and request the amendments to be updated asap. Genesis will continue to analyse the information provided by Tararua DC and provide them with the exceptions found to initiate the corrections.	01/03/2020

Description and capacity of load		
Non-compliance	Description	
<p>Audit Ref: 2.4</p> <p>With: Clause 11(2)(c) and (d) of Schedule 15.3</p> <p>From: 01-Oct-19</p> <p>To: 31-Oct-19</p>	<p>Eight items of load have a missing gear wattage, and a further two items of load have invalid gear wattages of zero.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as weak because they are not sufficient to ensure that wattage and gear information is consistently recorded.</p> <p>The impact is assessed to be low at 168 W or 717 kWh p.a. based on 4,271 burn hours p.a.</p>	
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Preventative actions taken to ensure no further issues will occur	Completion date	
Review the current council dataset and request the amendments to be updated asap. Genesis will continue to analyse the information provided by Tararua DC and provide them with the exceptions found to initiate the corrections.	01/03/2020	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Oct-19</p> <p>To: 31-Oct-19</p>	<p>The database is not confirmed as accurate with a 95% level of confidence as recorded in <b>section 3.1</b>.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are recorded as the installation date for new connections, and change dates may not reflect the date of the change if they are not processed in RAMM at the time that the change occurs.</p> <p>Eight items of load had a missing gear wattage, and two items of load had invalid gear wattages of zero.</p> <p>89 items of load had invalid gear wattages for their lamp model description.</p> <p>Light ID 2642 (betacom 27w led) was incorrectly recorded with ICP 000910000CADDCC, and was corrected to 0009102000CAE9C during the audit.</p> <p>Potential impact: High</p> <p>Actual impact: Unknown</p> <p>Audit history: Three times</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls over the database are rated as weak, because there were a relatively large number of discrepancies identified during the field audit, particularly for Dannevirke.</p> <p>The impact is assessed to be low based on the kWh differences identified. The incorrect ICP number for one lamp has a minor impact, because the NSPs for the associated ICPs are in different balancing areas. The differences resulting from using a monthly snapshot instead of daily data are not expected to be significant based on the volume of changes and new connections occurring.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Genesis has only recently gained this customer as @ 01/10/2019, it is clear, the previous trader has not been proactive in maintaining the asset information within Tararua DC's database.</p> <p>Genesis will be working with Tararua DC over the next few months to cleanse the exceptions identified within the database with the intent to meet the duml guidelines.</p>	01/03/2020	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Review the current council dataset and request the amendments to be updated asap. Genesis will continue to analyse the information provided by Tararua DC and provide them with the exceptions found to initiate the corrections.</p>	01/03/2020	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Oct-19</p> <p>To: 31-Oct-19</p>	<p>The database is not confirmed as accurate with a 95% level of confidence as recorded in <b>section 3.1</b>.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are recorded as the installation date for new connections, and change dates may not reflect the date of the change if they are not processed in RAMM at the time that the change occurs.</p> <p>Eight items of load had a missing gear wattage, and two items of load had invalid gear wattages of zero.</p> <p>89 items of load had invalid gear wattages for their lamp model description.</p> <p>Light ID 2642 (betacom 27w led) was incorrectly recorded with ICP 0009100000CADDCC, and was corrected to 0009102000CAE9C during the audit.</p> <p>Potential impact: High</p> <p>Actual impact: Unknown</p> <p>Audit history: Three times</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls over the database are rated as weak, because there were a relatively large number of discrepancies identified during the field audit, particularly for Dannevirke.</p> <p>The impact is assessed to be low based on the kWh differences identified. The incorrect ICP number for one lamp has a minor impact, because the NSPs for the associated ICPs are in different balancing areas. The differences resulting from using a monthly snapshot instead of daily data are not expected to be significant based on the volume of changes and new connections occurring.</p>	
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Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Review the current council dataset and request the amendments to be updated asap. Genesis will continue to analyse the information provided by Tararua DC and provide them with the exceptions found to initiate the corrections.</p>	01/03/2020	

