

Compliance plan for Mainpower NZTA DUML – 2019

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 22-Aug-17 To: 28-May-19	Submission not occurring for ICP 0000366150MP46C leading to under submission of 7,423 kWh per annum. Potential impact: Medium Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate, because they are sufficient to ensure that submission information is correct most of the time. The impact is assessed to be low because the under submission is less than 10,000 kWh per annum.	
Actions taken to resolve the issue	Completion date	Remedial action status
Contact previously asked Mainpower to go and check this light in the evening to see if it is on or not – we will ensure that this happens and update accordingly	August 2019	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
N/A	N/A	

Location of each item of load			
Non-compliance	Description		
Audit Ref: 2.3 With: Clause 11(2)(b) of Schedule 15.3 From: 01-May-18 To: 28-May-19	Location information insufficient to locate at least 106 items of load. Potential impact: Low Actual impact: Low Audit history: Once Controls: Weak Breach risk rating: 3		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as weak because there are still many lights without sufficient information to locate them. The impact is minor; therefore, the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Contact will work with the customer to better the location information		TBC	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Contact will discuss with the customer about ensuring that location information is updated in the database		N/A	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c)) From: 22-Aug-17 To: 28-May-19	Submission not occurring for ICP 0000366150MP46C leading to under submission of 7,423 kWh per annum. Potential impact: Medium Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate, because they are sufficient to ensure that submission information is correct most of the time. The impact is assessed to be low because the under submission is less than 10,000 kWh per annum.	
Actions taken to resolve the issue	Completion date	Remedial action status
CTCT are investigating. There is a possibility that this moved ownership to Waimakariri Council in 2017, if that is the case then all consumption will need to be resubmitted under the correct ICP through their current retailer.	August 2019	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
N/A	N/A	