

## Compliance plan for Jacks Point DUML – 2019

All load recorded in database			
Non-compliance	Description		
Audit Ref: 2.5 With: Clause 11(2A) and (d) of Schedule 15.3 From: 01-Apr-18 To: 01-Apr-19	Two additional items of load found in the field sample. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	The controls are rated as moderate as the process to update the database mitigates the risk most of the time. The impact is assessed to be low as the database is small. 50% of the database was sampled and the two additional lights found will have a very minor effect on reconciliation.		
Actions taken to resolve the issue		Completion date	Remedial action status
Details of discrepancies found during the field audit have been passed to Aurora for database correction.		31 July 2019	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	