

Compliance plan for Cold Storage Nelson Limited

Title: HHR aggregates information provision to the reconciliation mana	
Non-compliance	Description
Audit Ref: 11.4 With: Clause 15.8 of part 15 From: 01-Jul-18 To: 23-Jul-19	Aggregates file contains submission information. Potential impact: None Actual impact: None Audit history: Twice Controls: Strong Breach risk rating: 1
Audit risk rating	Rationale for audit risk rating
Low	The issue relating to content of the aggregates file is an error in the code, CSNL is providing submission information as expected.

Actions taken to resolve the issue	Completion date	Remedial action status
Cold Storage Nelson agrees that there is an error in the code and that submission information is being provided as expected.	22/08/2019	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We are comfortable with the current controls in place.	22/08/2019	