

# Compliance plan for Contact Energy Limited CTCX Material Change Audit 2019

|   |  |                               |
|---|--|-------------------------------|
| <b>Title: HHR aggregates information provision to the reconciliation mana</b>   |  |                               |
| <b>Non-compliance</b>   | <b>Description</b>   |                               |
| Audit Ref: 11.4<br>With: Clause 15.8 of part 15<br><br>From: 01-Jul-18<br>To: 23-Jul-19                               | Aggregates file contains submission information.<br><br>Potential impact: None<br><br>Actual impact: None<br><br>Audit history: Twice<br><br>Controls: Strong<br><br>Breach risk rating: 1 |                               |
| <b>Audit risk rating</b>  | <b>Rationale for audit risk rating</b>   |                               |
| Low   | The issue relating to content of the aggregates file is an error in the code, CSNL is providing submission information as expected.  |                               |
| <b>Actions taken to resolve the issue</b>   | <b>Completion date</b>   | <b>Remedial action status</b> |
| Simply Energy agrees that there is an error in the code and that submission information will be provided as expected. | 26/08/2019   | Identified                    |
| <b>Preventative actions taken to ensure no further issues will occur</b>  | <b>Completion date</b>   |                               |
|   |  |                               |