

Compliance plan for WBOP DC- Trustpower – 2019

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 Clause 11(1) of Schedule 15.3 From: unknown To: 28-Feb-19	New lights not added to the RAMM database within the month of electrical connection. 34 new lights not in the RAMM database equating to an estimated annual under submission of 4,279.5 kWh. Potential impact: Medium Actual impact: Low Audit history: Twice Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as weak as new lights are not added to RAMM within the month of being electrically connected. The impact is assessed to be low, based on the kWh differences described above.	
Actions taken to resolve the issue	Completion date	Remedial action status
A new connection process has been tabled with Powerco & Tga City Council. This new process is intended to be given to WBOPDC to follow.	31 July 2019	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Work with Customer to follow process.	Ongoing	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: unknown To: 28-Feb-19	Two additional lights found in the field audit. 34 lights electrically connected but not recorded in the database. Potential impact: Medium Actual impact: Low Audit history: Once previously Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as weak as new lights are not added to the database as required by the code. The impact is low as the volume of missing lights identified is small.	
Actions taken to resolve the issue	Completion date	Remedial action status
Trustpower will verify with WBOPDC if vested. If so will instruct to notify WestLink	30 /4/19	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Will try and impose new connection process	30/4/19	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: unknown</p> <p>To: 28-Feb-19</p>	<p>New lights not added to the RAMM database within the month of electrical connection. 34 new lights not in the RAMM database equating to an estimated annual under submission of 4,279.5 kWh.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Once previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as weak as new lights are not added to RAMM within the month of being electrically connected.</p> <p>The impact is assessed to be low, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
A new connection process has been tabled with Powerco & Tga City Council. This new process is intended to be given to WBOPDC to follow.	30/4/19	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Work with Customer to follow process	Ongoing	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 Clause 15.2 and 15.37B(c) From: unknown To: 28-Feb-19	New lights not added to the RAMM database within the month of electrical connection. 34 new lights not in the RAMM database equating to an estimated annual under submission of 4,279.5 kWh. Potential impact: Medium Actual impact: Low Audit history: Twice Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as weak as new lights are not added to RAMM within the month of being electrically connected. The impact is assessed to be low, based on the kWh differences described above.	
Actions taken to resolve the issue	Completion date	Remedial action status
A new connection process has been tabled with Powerco & Tga City Council. This new process is intended to be given to WBOPDC to follow.	30/4/19	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Work with Customer to follow process.	Ongoing	