

Compliance plan for Tauranga CC – Dec 2018

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 01-Jun-18 To: 25-Nov-18	Under submission of approx. 81.3 kWh per annum has occurred due to three incorrect ballast wattages. Potential impact: High Actual impact: Low Audit history: Multiple times Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong because they have recently been improved and have led to a much more accurate database. The impact is rated as low because of the small variance.	
Actions taken to resolve the issue	Completion date	Remedial action status
Trustpower will work with TCC to update correct ballast for end of month submission.	30 November	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Check that correct ballast wattages are used by TCC	December 2018	

Description and capacity of load		
Non-compliance	Description	
<p>Audit Ref: 2.4</p> <p>With: Clause 11(2)(b) of Schedule 15.3</p> <p>From: 01-Jun-18</p> <p>To: 25-Nov-18</p>	<p>Discrepancies in the database as follows:</p> <ul style="list-style-type: none"> two 250-watt SON records had the incorrect ballast wattage, one had 20 and one had 18 when they should be 28 one 60-watt cosmopolis had 5 instead of 6 for ballast wattage. <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong because all database data was reviewed and improved, leaving only three minor errors.</p> <p>The impact is rated as low because of the low numbers.</p>	
Actions taken to resolve the issue		Completion date
Trustpower will work with TCC to update correct ballast for end of month submission.		December 2018
Preventative actions taken to ensure no further issues will occur		Completion date
Check that correct ballast wattages are used by TCC		December 2018
		Identified

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-Jun-18 To: 25-Nov-18	The field audit identified three lamps which were not recorded in the database. Potential impact: High Actual impact: Low Audit history: Twice Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong because they have been recently improved, leading to a much higher level of compliance. The impact is rated as low because of the low numbers of discrepancies.	
Actions taken to resolve the issue	Completion date	Remedial action status
Trustpower to work with TCC to update database.	December 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Trustpower to work with TCC to update database.	December 2018	

Database accuracy			
Non-compliance	Description		
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 01-Jun-18 To: 25-Nov-18	Under submission of approx. 81.3 kWh per annum has occurred due to three incorrect ballast wattages. Potential impact: High Actual impact: Low Audit history: Multiple times Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as strong because they have recently been improved and have led to a much more accurate database. The impact is rated as low because of the small variance.		
Actions taken to resolve the issue		Completion date	Remedial action status
Trustpower will work with TCC to maintain database.		Ongoing	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Trustpower will work with TCC to maintain database.		Ongoing	