

Compliance plan for Simply Energy Limited material change audit 2019

Title: HHR aggregates information provision to the reconciliation manager		
Non-compliance	Description	
Audit Ref: 11.4 With: Clause 15.8 of part 15 From: 30-May-19 To: 30-May-19	Aggregates file contains submission information. Potential impact: None Actual impact: None Audit history: Multiple times Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because the aggregates file is correct compared to the functional specification. There is no impact on settlement because the aggregates file is only used for reporting, therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
There is a misalignment between the Code requirements and the RM file specification. It is a problem known to the Authority and we are awaiting the Authority to resolve this.	30/05/2019	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Electricity Authority to correct wording in the Code.	Unsure of when this will occur.	