

## Compliance plan for Electrix -2018 (Updated Feb 2019)

Advise MEP of Records, Certificates Or Reports For A Metering Installation		
Non-compliance	Description	
Audit Ref: 3.9 With: Clause 14 Of Schedule 10.4  From: 11-Jan-17 To: 24-Jan-18	Certification records provided to the MEP late for five of ten metering installations. Potential impact: Medium Actual impact: Low Audit history: None Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as weak because they do not mitigate risk most of the time.  The impact on MEPs is minor; therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
We are looking into how to develop our process to achieve the time frames	30 May 2018	Update Feb 19: There is now an agreement with the MEP (Transpower), that we (Electrix) store and file Records, on their behalf. Records are stored in the ATH filing system AND uploaded into the MEP's database.... Therefore are agreed as "Provided to the MEP" immediately upon generation of the record or certificate.
Preventative actions taken to ensure no further issues will occur	Completion date	
We are looking into how to develop our process to achieve the time frames presently we are using a manual spreadsheet to monitor the timeframes	30 May 2018	

<b>Burden &amp; Compensation</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 5.40 With: Clause 31 Of Schedule 10.7  From: 01-Feb-15 To: 02-Feb-18	Electrix has not confirmed the accuracy of non-TWS CTs when the in-service burden is lower than the lowest test point recorded in the IEC standard.  Potential impact: Low Actual impact: Low Audit history: None Controls: None Breach risk rating: 3	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Low</b>	There is currently no process in place to manage low burden on CT metered installations with non-TWS CTs.  The impact on settlement is likely to be minor because the overall error of the installations is measured and recorded.	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
Instructed the technician to correct the minimum burden requirement	9 Feb 2018	Updated Feb 19: Actions completed and work process updated. HOWEVER, this Non Compliance related to the CT types tested by the Class B ATH. ELX is no longer seeking Class B status.
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Update our current work procedure	30 May 2018	

