

Compliance plan for Buller DC RAMM database – Nov 2018

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 15-May-18 To: 14-Nov-18	Over submission of approx. 27,533 kWh per annum will be occurring due to historic registry value being used for submission. Potential impact: Medium Actual impact: Medium Audit history: Once Controls: Weak Breach risk rating: 6	
Audit risk rating	Rationale for audit risk rating	
Medium	The controls are rated as weak as no database has been used but note that with the RAMM database being used the control rating will improve. The impact is assessed to be medium, based on the kWh differences described above.	
Actions taken to resolve the issue	Completion date	Remedial action status
We will arrange for Buller DC to send us a monthly snapshot of the RAMM database and use this to calculate submission volumes moving forward. We will conduct revisions of historic submission volumes as required by the Code	31 Dec 2018 Ongoing	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Volume information accuracy			
Non-compliance	Description		
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: 15-May-18 To: 14-Nov-18	Over submission of approx. 27,533 kWh per annum will be occurring due to historic registry value being used for submission. Potential impact: Medium Actual impact: Medium Audit history: Once Controls: Weak Breach risk rating: 6		
Audit risk rating	Rationale for audit risk rating		
Medium	The controls are rated as weak as no database has been used but note that with the RAMM database being used the control rating will improve. The impact is assessed to be medium, based on the kWh differences described above.		
Actions taken to resolve the issue		Completion date	Remedial action status
We will arrange for Buller DC to send us a monthly snapshot of the RAMM database and use this to calculate submission volumes moving forward. We will conduct revisions of historic submission volumes as required by the Code		31 Dec 2018 Ongoing	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	