

## Compliance plan for Powershop MC – 2019

Non-compliance	Description	
Audit Ref: 11.4 With: Clause 15.8 of part 15 From: 18-Mar-19 To: 18-Mar-19	Aggregates file contains submission information. Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 0	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as strong because the aggregates file is correct compared to the functional specification. There is no impact on settlement because the aggregates file is only used for reporting, therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
The issue relating to content of the aggregates file is an error in the Code that the EA are aware of. Powershop is providing submission information as expected and will not be taking any action in relation to this technical non-compliance.	N/A	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	