

Compliance plan for Tasman DC & Tasman NZTA – 2018

Deriving Submission Information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: 11(1) of Schedule 15.3</p> <p>From: entire audit period</p>	<p>Incorrect profile recorded for 4 ICPs.</p> <p>Accuracy ratio is 99.7% indicating over submission of 220.65 kWh per annum.</p> <p>31 items of load with the incorrect ballast applied resulting in an estimated annual under submission of 2,246.55 kWh</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<p>Low</p>	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The combined variance of 2,025.9kWh, is considered minor therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Incorrect profile - The incorrect profile on the registry issue is a result of a system defect – currently a fix is underway to prevent this issue from occurring. A manual work around is currently in place to update the registry where required.</p> <p>Accuracy Ratio – The field audit identified a small number of lights where the field wattage was different to the database wattage – these updates have been completed. It is important to note that the percentage accuracy of the database counts is 99.75% which is well within the respective required meter accuracy for a metered load of a similar size.</p> <p>Incorrect ballast values – Network Tasman have updated the ballast values to align with the standard values published by the EA.</p>	<p>July 2018</p> <p>Resolved</p> <p>Resolved</p>	<p>Identified</p>
Preventative actions taken to ensure no further issues will occur	Completion date	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: 15.2 & 15.37(b)</p> <p>From: entire audit period</p>	<p>Accuracy ratio is 99.7% indicating over submission of 220.65 kWh per annum.</p> <p>31 items of load with the incorrect ballast applied resulting in an estimated annual under submission of 2,246.55 kWh.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The combined variance of 2,025.9kWh, is considered minor therefore the audit risk rating is low.</p>	
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Preventative actions taken to ensure no further issues will occur	Completion date	

Volume Information Accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: With: 15.2 & 15.37(c)</p> <p>From: entire audit period</p>	<p>Incorrect profile recorded for 4 ICPs.</p> <p>Accuracy ratio is 99.7% indicating over submission of 220.65 kWh per annum.</p> <p>31 items of load with the incorrect ballast applied resulting in an estimated annual under submission of 2,246.55 kWh.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The combined variance of 2,025.9kWh, is considered minor therefore the audit risk rating is low.</p>		
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Preventative actions taken to ensure no further issues will occur		Completion date	