

Compliance plan for South Wairarapa District Council Distributed Unmetered Load for Contact Energy Limited

Title: Deriving Submission Information		
Non-compliance	Description	
Audit Ref: 2.1 With: 11(1) of Schedule 15.3 From: entire audit period	An incorrect profile is recorded on the registry for ICP 0020906000WRDFA. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. Submissions are recorded with the correct profile, only the profile recorded on the registry is incorrect.	
Actions taken to resolve the issue	Completion date	Remedial action status
The incorrect profile on the registry issue is a result of a system defect – currently a fix is underway to prevent this issue from occurring. A manual work around is currently in place to update the registry where required	July 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As above	As above	

Title: ICP Identifier	
Non-compliance	Description
Audit Ref: 2.2 With: Clause 11(2)(a) of Schedule 15.3 From: July 2017	Two items of load do not have an ICP recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1

Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as strong, as they are sufficient to mitigate the risk most of the time.</p> <p>All items of load relate to the same ICP. Only two items of load did not have the ICP number recorded.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Contact will follow up with SWDC to ensure ICP numbers are populated for these 2 lights as part of their migration to RAMM		July 2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
As above		As above	

Title: Description of Load Type			
Non-compliance	Description		
<p>Audit Ref: 2.4</p> <p>With: 11(2)(c) & (d) of Schedule 15.3</p> <p>From: July 2017</p>	<p>Eight lamps have incorrect lamp wattage, and missing gear wattage.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as strong, as they are sufficient to mitigate the risk most of the time.</p> <p>The missing gear wattages have no impact, because the gear wattage should be zero. The incorrect lamp wattages come to a total of 296W, or under submission of 1,296kWh per annum.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Contact will follow up with SWDC to ensure wattage values are populated for these lights as part of their migration to RAMM		July 2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
As above		As above	

Title: All load recorded in database			
Non-compliance		Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: July 2017		One lamp was missing from the database, and one lamp had an incorrect wattage recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating		Rationale for audit risk rating	
Low		Controls are rated as strong, as they are sufficient to mitigate the risk most of the time. One lamp was missing from the database, and one lamp had an incorrect wattage recorded. The difference was 31W, approximately 135kWh per annum.	
Actions taken to resolve the issue		Completion date	Remedial action status
Contact will follow up with SWDC to ensure these lights are updated as part of their migration to RAMM		July 2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
As above		As above	

Title: Database Accuracy			
Non-compliance		Description	
Audit Ref: 3.1 With: 15.2 & 15.37(b) From: July 2017		One lamp was missing from the database, and nine missing or incorrect lamp wattages were recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating		Rationale for audit risk rating	
Low		Controls are rated as strong, as they are sufficient to mitigate the risk most of the time. The incorrect wattages and missing lamp have resulted in under reporting of approximately 1,431kWh per annum..	

Actions taken to resolve the issue	Completion date	Remedial action status
Contact will follow up with SWDC to ensure these lights are updated as part of their migration to RAMM	July 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As above	As above	