

Compliance plan for South Taranaki District Council Distributed Unmetered Load for Genesis Energy Limited

| Title: Deriving Submission Information | | |
|---|---|-------------------------------|
| Non-compliance | Description | |
| Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: entire audit period | The database used to prepare submissions contains some inaccurate information. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2 | |
| Audit risk rating | Rationale for audit risk rating | |
| Low | Controls are rated as moderate, because 96.1% accuracy was achieved for the sample checked. The impact is low. Most differences related to timing, and the combined wattage difference would result in over reporting of approximately 2280 kWh per annum. | |
| Actions taken to resolve the issue | Completion date | Remedial action status |
| Genesis are working with the database owner to maintain exceptions where identified. | 10/2018 | Identified |
| Preventative actions taken to ensure no further issues will occur | Completion date | |
| Awaiting database owner's response. Genesis will work with the contracted party to gain accuracies in their database. | 10/2018 | |

| Title: All load recorded in database | |
|---|--|
| Non-compliance | Description |
| Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: entire audit period | For the sample of 202 lamps checked, eight lamps could not be located. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Moderate Breach risk rating: 2 |

| Audit risk rating | Rationale for audit risk rating | | |
|---|--|------------------------|-------------------------------|
| Low | Controls are rated as moderate, because 96.1% accuracy was achieved for the sample checked. The impact is low. Most differences related to timing or addressing where lamps were close to an intersection. | | |
| Actions taken to resolve the issue | | Completion date | Remedial action status |
| Genesis are working with the database owner to maintain exceptions where identified. | | 10/2018 | Identified |
| Preventative actions taken to ensure no further issues will occur | | Completion date | |
| Awaiting database owner's response. Genesis will work with the contracted party to gain accuracies in their database. | | 10/2018 | |

| Title: Database Accuracy | | | |
|--|---|------------------------|-------------------------------|
| Non-compliance | Description | | |
| Audit Ref: 3.1 With: Clause 15.2 From: entire audit period | For the sample of 202 lamps checked, eight lamps had incorrect model information recorded, and eight lamps could not be located. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2 | | |
| Audit risk rating | Rationale for audit risk rating | | |
| Low | Controls are rated as moderate, because 96.1% accuracy was achieved for the sample checked. The impact is low. Most differences related to timing, and the combined wattage difference would result in over reporting of approximately 2280 kWh per annum. | | |
| Actions taken to resolve the issue | | Completion date | Remedial action status |
| Genesis are working with the database owner to maintain exceptions where identified. | | 10/2018 | Identified |

| Preventative actions taken to ensure no further issues will occur | Completion date |
|---|------------------------|
| Awaiting database owner's response. Corrections were to be actioned by end of Jan-18. Genesis will be working with the contracted party to gain accuracies in their database. | 10/2018 |

| Title: Volume Information Accuracy | | |
|--|---|-------------------------------|
| Non-compliance | Description | |
| <p>Audit Ref: 3.2 With: Clause 15.2</p> <p>From: entire audit period</p> | <p>The database used to prepare submissions contains some inaccurate information.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p> | |
| Audit risk rating | Rationale for audit risk rating | |
| Low | <p>Controls are rated as moderate, because 96.1% accuracy was achieved for the sample checked.</p> <p>The impact is low. Most differences related to timing, and the combined wattage difference would result in over reporting of approximately 2280 kWh per annum</p> | |
| Actions taken to resolve the issue | Completion date | Remedial action status |
| Genesis are working with the database owner to maintain exceptions where identified. | 10/2018 | Identified |
| Preventative actions taken to ensure no further issues will occur | Completion date | |
| Resolution of 2.5 & 3.71 will correct this. | 10/2018 | |