

Compliance plan for Burnham – 2018

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: unknown To: 23-Apr-18	Incorrect profile is recorded on the registry. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls ensure the correct profile is used; it's just that the registry is incorrect. The controls are recorded as moderate because they could be improved. Contact's submission is calculated using the correct profile information, therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
The incorrect profile on the registry issue is a result of a system defect – currently a fix is underway to prevent this issue from occurring. A manual work around is currently in place to update the registry where required	July 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Description and capacity of load	
Non-compliance	Description
Audit Ref: 2.4 With: Clauses 11(2)(c) and (d) of Schedule 15.3 From: unknown To: 23-Apr-18	One lamp type has incorrect lamp wattage recorded, affecting one lamp. The expected wattage is 77 W and not the 75 W recorded in the database, expected under reporting is 8.5 kWh per annum. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1
Audit risk rating	Rationale for audit risk rating

Low	<p>The controls are rated as strong because only one of the 84 lamps in the database had incorrect wattage information.</p> <p>The impact is low; the expected wattage for the lamp type is 77 not 75.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Contact will work with Orion to get the DUMML database updated with these correct values	July 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	