

# Compliance plan for Masterton District Council Distributed Unmetered Load for Contact Energy Limited

Title: Deriving Submission Information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3  From: October 2017	Incorrect profiles are recorded on the registry for ICPs 0020902000WRB7A and 0020901000WR99A. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. Submissions are recorded with the correct profile, only the profile recorded on the registry is incorrect.	
Actions taken to resolve the issue	Completion date	Remedial action status
The incorrect profile on the registry issue is a result of a system defect – currently a fix is underway to prevent this issue from occurring. A manual work around is currently in place to update the registry where required	July 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As above	As above	

Title: Description of Load Type	
Non-compliance	Description
Audit Ref: 2.4 With: 11(2)(c) & (d) of Schedule 15.3  From: July 2017	Six lamps have incorrect lamp wattage, and 305 lamps have incorrect gear wattage. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2

<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>		
<b>Low</b>	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The incorrect gear wattages total 610W and the incorrect lamp wattages total 140W. The total under reported is approximately 3,283 kWh per annum.</p>		
<b>Actions taken to resolve the issue</b>		<b>Completion date</b>	<b>Remedial action status</b>
Masterton CC have updated these affected records		Resolved	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>		<b>Completion date</b>	
As above		As above	

<b>Title: Database Accuracy</b>			
<b>Non-compliance</b>	<b>Description</b>		
<p>Audit Ref: 3.1 With: 15.2 &amp; 15.37(b)</p> <p>From: July 2017</p>	<p>Six lamps have incorrect lamp wattage, and 305 lamps have incorrect gear wattage.</p> <p>Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2</p>		
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>		
<b>Low</b>	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The incorrect gear wattages total 610W and the incorrect lamp wattages total 140W. The total under reported is approximately 3,283 kWh per annum.</p>		
<b>Actions taken to resolve the issue</b>		<b>Completion date</b>	<b>Remedial action status</b>
Masterton CC have updated these affected records		Resolved	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>		<b>Completion date</b>	
As above		As above	