

Compliance plan for Christchurch CC – 2018

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Apr-17</p> <p>To: 30-Apr-18</p>	<p>The Mainpower database accuracy is assessed to be 97.95% indicating an estimated over submission of 1,281 kWh per annum.</p> <p>The Orion database has nine lamp type and wattage differences, affecting 6,876 lamps with an overall wattage difference of 11.670 kW, which equates to 49,841 kWh per annum under submission.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 4</p>	
Audit risk rating	Rationale for audit risk rating	
Medium	<p>The controls are rated as moderate, because they are sufficient to ensure that changes to the database are correctly recorded most of the time.</p> <p>The impact is assessed to be medium, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Contact will work with Orion and Mainpower to get the DUML database's updated with these correct values and attributes	Dec 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Description and capacity of load			
Non-compliance	Description		
<p>Audit Ref: 2.4</p> <p>With: Clauses 11(2)(c) and (d) of Schedule 15.3</p> <p>From: 01-Apr-17</p> <p>To: 30-Apr-18</p>	<p>Nine lamp type and wattage differences in the Orion database, affecting 6,876 lamps with an overall wattage difference equating to 49,841 kW per annum under submission</p> <p>One lamp in the Mainpower database has missing make and model information and no lamp wattage recorded.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 4</p>		
Audit risk rating	Rationale for audit risk rating		
Medium	<p>The controls are rated as medium because percentage of the database affected is significant.</p> <p>The impact is medium based on the kWh difference of almost 50,000 kWh per annum</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Contact will work with Orion and Mainpower to get the DUML database's updated with these correct values and attributes		Dec 2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Apr-17</p> <p>To: 30-Apr-18</p>	<p>The Mainpower database accuracy is assessed to be 97.95% indicating an estimated over submission of 1,281 kWh per annum</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	

Low	<p>The controls are rated as moderate, because they are sufficient to ensure that changes to the database are correctly recorded most of the time.</p> <p>The impact is assessed to be low, based on the kWh differences described above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Contact will work with Orion Mainpower to get the DUML database updated with these correct values and attributes		Dec 2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2 Clause 15.2 and 15.37B(c)</p> <p>From: 01-Apr-17 To: 30-Apr-18</p>	<p>The Mainpower database accuracy is assessed to be 97.95% indicating an estimated over submission of 1,281 kWh per annum.</p> <p>The Orion database has nine lamp type and wattage differences, affecting 6,876 lamps with an overall wattage difference of 11.670 kW, which equates to 49,841 kWh per annum under submission.</p> <p>Potential impact: Medium Actual impact: Medium Audit history: None Controls: Moderate Breach risk rating: 4</p>		
Audit risk rating	Rationale for audit risk rating		
Medium	<p>The controls are rated as moderate, because they are sufficient to ensure that lamp information is correctly recorded most of the time but there are still some errors.</p> <p>The impact is assessed to be medium, based on the kWh differences described above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Contact will work with Orion and Mainpower to get the DUML database's updated with these correct values and attributes		Dec 2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	

