

Compliance plan for Waipori Falls/Pioneer Energy

Non-compliance	Description	
Audit Ref: 2.1 With: 11(1) of Schedule 11.3 From: 01-Apr-17 To: 31-May-18	Incorrect value of the S/L load is used for reconciliation Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are recorded as strong. Incorrect value of total load is used for reconciliation, but the difference is 3W. There is no impact on settlement outcomes therefore audit risk rating is recorded as low.	
Actions taken to resolve the issue	Completion date	Remedial action status
EMS has been advised of the corrected volume and will apply this going forward and will also apply retrospectively through the wash-up process.	24 May 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Any future changes will be processed through the DUMML database and advised to EMS monthly.	24 May 2018	

Non-compliance	Description		
Audit Ref: 3.2 With: 15.2 From: 01-Apr-17 To: 31-May-18	Incorrect value of the S/L load is used for reconciliation Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are recorded as strong. Incorrect value of total load is used for reconciliation, but the difference is 3W. There is no impact on settlement outcomes therefore audit risk rating is recorded as low.		
Actions taken to resolve the issue		Completion date	Remedial action status
EMS has been advised of the corrected volume and will apply this going forward and will also apply retrospectively through the wash-up process.		24 May 2018	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Any future changes will be processed through the DUMML database and advised to EMS monthly.		24 May 2018	

Description	Recommendation	Audited party comment	Remedial action
Lack of a communication channel to be informed if any changes to the light occurs	Come in an agreement with Clutha District Council to be notified of any changed to the lights.	Pioneer has confirmed with the Clutha District Council that the council will advise Pioneer immediately should any changes occur to the streetlights.	Identified