

Compliance plan for NZTA Waipukurau Distributed Unmetered Load for Meridian Energy Limited

Title: Deriving Submission Information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: unknown To: 23-Feb-18	Submissions are not based upon the RAMM database. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as weak, because submissions are based on historic data, not the RAMM database. The impact is low, as the difference between the data reported and the correct total wattage is approximately 2,347 watts, or 10,280 kWh per annum.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have revised the wattage used to calculate submission volumes to reflect the correct total wattage assessed by the auditor (25,936kW). Historic market submissions will be corrected.	07 May 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have requested that NZTA provide regular reporting from their database so changes to wattages can be identified and are awaiting their confirmation that this will occur.	30 June 2018	

Title: ICP identifier and items of load		
Non-compliance	Description	
Audit Ref: 2.2 With: Clause 11(2)(a) and (aa) of Schedule 15.3 From: unknown To: 23-Feb-18	ICP is not recorded in the database. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as weak, as ICP number is not recorded for any item of load. The impact is low, because all items of load relate to the same ICP.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have asked NZTA to add ICP to the RAMM Database and await their confirmation that this will be completed.	30 June 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As above		

Title: Description and capacity of load		
Non-compliance	Description	
Audit Ref: 2.4 With: Clause 11(2)(c) and (d) of Schedule 15.3 From: unknown To: 13-Mar-18	Six lamps do not have make, model or wattage information recorded. No lamps have gear or ballast wattage information recorded. Potential impact: None Actual impact: None Audit history: Once previously Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as weak, because all lamps have some missing wattage information. There is no impact because the database information is not used for submission.	

Actions taken to resolve the issue	Completion date	Remedial action status
The issues identified during the field audit and gear wattage information has been provided to NZTA to update the database and we await their confirmation that this has been completed.	30 June 2018 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have revised the wattage used to calculate submission volumes to reflect the correct total wattage assessed by the auditor (25,936kW) which includes the missing lamp and gear wattage information. Historic market submissions will be corrected.	07 May 2018	

Title: All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: unknown To: 23-Feb-18	One floodlight was not recorded in the database. Potential impact: None Actual impact: None Audit history: Once previously Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong, because only one missing lamp was identified across the entire database. There is no impact because the database information is not used for submission.	
Actions taken to resolve the issue	Completion date	Remedial action status
Details of the location of the missing light has been provided to NZTA to verify and add to the database. We are awaiting their confirmation that this has been completed.	30 June 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Overall controls that are in place to ensure all load is recorded in the database are considered sufficient.	Ongoing	

Title: Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: unknown To: 23-Feb-18	The database has some missing information. Potential impact: None Actual impact: None Audit history: None Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as weak, because all lamps have some missing wattage information. There is no impact because the database information is not used for submission.	
Actions taken to resolve the issue	Completion date	Remedial action status
The issues identified during the field audit and gear wattage information has been provided to NZTA to update the database and we await their confirmation that this has been completed.	30 June 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have revised the wattage used to calculate submission volumes to reflect the correct total wattage assessed by the auditor (25,936kW) which includes the missing lamp and gear wattage information. Historic market submissions will be corrected. We have requested that NZTA provide regular reporting from their database so changes to wattages can be identified and are awaiting their confirmation that this will occur.	07 May 2018 30 June 2018	

Title: Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: unknown To: 23-Feb-18	Submissions are not based upon the RAMM database. Potential impact: Low Actual impact: Low Audit history: None Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as weak, because submissions are based on historic data, not the RAMM database. The impact is low, as the difference between the data reported and the correct total wattage is approximately 2,347 watts, or 10,280 kWh per annum.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have revised the wattage used to calculate submission volumes to reflect the correct total wattage assessed by the auditor (25,936kW). Historic market submissions will be corrected.	07 May 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have requested that NZTA provide regular reporting from their database so changes to wattages can be identified and are awaiting their confirmation that this will occur.	30 June 2018	