

# Compliance plan for Stratford District Council Distributed Unmetered Load for Genesis Energy Limited

<b>Title: Deriving Submission Information</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3  From: entire audit period	The database used to prepare submissions contains some inaccurate information. Potential impact: Low Actual impact: Low Audit history: None Controls: Weak Breach risk rating: 3	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Low</b>	Controls are rated as weak, as they are not sufficient to ensure that total wattage is accurately recorded most of the time.  The impact is assessed as low, because the differences have resulted in under reporting of approximately 13220 kWh.	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
Genesis are working with the database owner to maintain exceptions where identified.	10/2018	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Awaiting database owner's response, and will work with SDC in order to have the database maintained.	10/2018	

<b>Title: Database Accuracy</b>		
<b>Non-compliance</b>	<b>Description</b>	
<p>Audit Ref: 3.1 With: Clause 15.2</p> <p>From: entire audit period</p>	<p>The database contains some inaccurate information:</p> <ul style="list-style-type: none"> <li>• 570 lamps have incorrect total wattage recorded.</li> <li>• Wattages of festive and decorative lights need to be updated in RAMM.</li> <li>• For the sample of 201 lamps checked, ten lamps had incorrect lamp and wattage information recorded.</li> </ul> <p>Potential impact: Low Actual impact: Low Audit history: None Controls: Weak Breach risk rating: 3</p>	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Low</b>	<p>Controls are rated as moderate, as they are sufficient to ensure that total wattage is accurately recorded most of the time.</p> <p>The impact is assessed as low, because the differences have resulted in under reporting of approximately 13220 kWh.</p>	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
Genesis are working with the database owner to maintain exceptions where identified. Council is aware of the wattage discrepancies and are working with contracted database manager to correct these errors.	10/2018	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Awaiting database owner's response to see how these updates are progressing.	10/2018	

<b>Title: Volume Information Accuracy</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 3.2 With: Clause 15.2  From: entire audit period	<p>The database used to prepare submissions contains some inaccurate information.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Low</b>	<p>Controls are rated as weak, as they are not sufficient to ensure that total wattage is accurately recorded most of the time.</p> <p>The impact is assessed as low, because the differences have resulted in under reporting of approximately 13220 kWh.</p>	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
Genesis are working with the database owner to maintain exceptions where identified. In relation to 3.1	10/2018	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Awaiting database owner's response to see how these updates are progressing.	10/2018	