

# Compliance plan for Tauranga CC Parks and Reserves – 2018

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: 11(1) of Schedule 15.3 From: unknown To: 30-Apr-18	The field audit found a total wattage of 26,891 and the database recorded 27,063. The difference indicates over submission of 734 kWh per annum. Potential impact: Medium Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are rated as moderate, because they are sufficient to ensure that lamp details are correct most of the time The impact is rated as low because the impact on settlement of the database inaccuracy is approximately 734 kWh.	
Actions taken to resolve the issue	Completion date	Remedial action status
TRUS will work with Tauranga City Council to review and correct any anomalies in the database	30/09/18	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Validation of new load items to occur when installed	Ongoing	

Location of each item of load	
Non-compliance	Description
Audit Ref: 2.3 With: Clause 11(2)(b) of Schedule 15.3 From: 19-May-16 To: 30-Apr-18	One record without a location description Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1
Audit risk rating	Rationale for audit risk rating

<b>Low</b>	The controls are recorded as strong because they mitigate risk most of the time. The impact on settlement and participants is minor; therefore the audit risk rating is low.	
<b>Actions taken to resolve the issue</b>		<b>Completion date</b>
TRUS will raise the recommendation for GPS co-ordinates to be included in the database		30/06/18
<b>Preventative actions taken to ensure no further issues will occur</b>		<b>Completion date</b>
The locations for new items will be validated to ensure they can be found		30/09/18 and ongoing
Remedial action status		
Identified		

Description and capacity of load	
Non-compliance	Description
Audit Ref: 2.4 With: Clause 11(2)(b) of Schedule 15.3  From: unknown To: 30-Apr-18	Gear wattage not recorded in the database Potential impact: Medium Actual impact: Low Audit history: None Controls: Weak Breach risk rating: 3
Audit risk rating	Rationale for audit risk rating
<b>Low</b>	Controls are rated as weak because the gear wattage data is not present at all The impact is rated as low because of the low numbers and because Trustpower adds the ballast wattage to ensure submission is correct.
<b>Actions taken to resolve the issue</b>	
TRUS adds ballast to submission data calculation as standard practice, and will work with Tauranga City Council to ensure ballast is added to their database.	
<b>Preventative actions taken to ensure no further issues will occur</b>	
Ballast to be entered into database a standard practice for new items	
<b>Completion date</b>	
30/09/18	
<b>Completion date</b>	
Ongoing	
Remedial action status	
Identified	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3  From: unknown To: 30-Apr-18	The field audit identified one lamp which was not recorded in the database. Potential impact: Medium Actual impact: Low Audit history: Once previously Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are rated as strong, as they are sufficient to ensure that most database information is recorded correctly.  The impact is rated as low because the impact on settlement is minor for one light	
Actions taken to resolve the issue	Completion date	Remedial action status
TRUS will work with Tauranga City Council to review and correct any anomalies in the database	30/09/18	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Validation of new load items to occur when installed	Ongoing	

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b)  From: unknown To: 30-Apr-18	The field audit found a total wattage of 26,891 and the database recorded 27,063. The difference indicates over submission of 734 kWh per annum. Potential impact: Medium Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are rated as moderate, because they are sufficient to ensure that lamp details are correct most of the time  The impact is rated as low because the impact on settlement of the database inaccuracy is approximately 734 kWh per annum	
Actions taken to resolve the issue	Completion date	Remedial action status

TRUS will work with Tauranga City Council to review and correct any anomalies in the database	30/09/18	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Validation of new load items to occur when installed	Ongoing	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: unknown To: 30-Apr-18	<p>The field audit found a total wattage of 26,891 and the database recorded 27,063. The difference indicates over submission of 734 kWh per annum.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as moderate, because they are sufficient to ensure that lamp details are correct most of the time</p> <p>The impact is rated as low because the impact on settlement of the database inaccuracy is approximately 734 kWh per annum</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
TRUS will work with Tauranga City Council to review and correct any anomalies in the database	30/09/18	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Validation of new load items to occur when installed	Ongoing	